Lecture 7 – Mutation Testing AAA705: Software Testing and Quality Assurance

Jihyeok Park

PLRG

2024 Spring

AAA705 @ Korea University

Lecture 7 - Mutation Testing

March 27, 2024

Recall



• Symbolic Execution

- Basic Idea
- Satisfiability Modulo Theories (SMT)
- Limitations of Symbolic Execution

• Dynamic Symbolic Execution (DSE)

- Search Heuristics
- Example Hash Function
- Example Loops
- Example Data Structures
- Realistic Implementation

• Other Hybrid Analysis Techniques

Contents



1. Mutation Testing

Fundamental Hypotheses Overall Process Mutation Generation Kill vs Alive Equivalent Mutants How to Kill A Mutant Scalability Higher Order Mutants Tools

2. Test Flakiness

Contents



1. Mutation Testing

Fundamental Hypotheses Overall Process Mutation Generation Kill vs Alive Equivalent Mutants How to Kill A Mutant Scalability Higher Order Mutants Tools

2. Test Flakiness



• Mutation testing is a white-box and fault-based testing technique.



- Mutation testing is a white-box and fault-based testing technique.
- **Inverts** the testing adequacy criterion: the goal is to **access** the effectiveness of the existing test suite in terms of its **fault detection capabilities**.



- Mutation testing is a white-box and fault-based testing technique.
- **Inverts** the testing adequacy criterion: the goal is to **access** the effectiveness of the existing test suite in terms of its **fault detection capabilities**.
 - Test suites test programs
 - Mutants test test suites



- Mutation testing is a white-box and fault-based testing technique.
- **Inverts** the testing adequacy criterion: the goal is to **access** the effectiveness of the existing test suite in terms of its **fault detection capabilities**.
 - Test suites test programs
 - Mutants test test suites
- The most widely used adequacy score is **mutation score**: it measures the quality of the given test suite as **the percentage of injected faults that you can detect**.



Figure 1: Number of mutation testing publications per year (years: 2008-2017).

M. Papadakis, M. Kintis, J. Zhang, Y. Jia, Y. Le Traon, M. Harman, Mutation Testing Advances: An Analysis and Survey, Advances in Computers, 2017.

AAA705 @ Korea University

Lecture 7 - Mutation Testing



Which environment is better test environment for car? Why?







Let's sabotage the car! In the bendy road, the car will crash!







• Testing is a sampling process: without a priori knowledge of faults, it is difficult to access how well a technique samples.



- Testing is a sampling process: without a priori knowledge of faults, it is difficult to access how well a technique samples.
- Mutation testing: the quality of a test suite can be indirectly measured by artificially injecting faults and checking how well the test suite can detect them.



- Testing is a sampling process: without a priori knowledge of faults, it is difficult to access how well a technique samples.
- Mutation testing: the quality of a test suite can be indirectly measured by artificially injecting faults and checking how well the test suite can detect them.
 - Seed the original implementations with faults (the seeded version are called **mutants**
 - **Execute** the given test suite
 - If we get different test results, the introduced faults (the mutant) has been identified (i.e., the mutant is **killed**). If not, the mutant is still **alive**.

Fundamental Hypotheses



• Mutation testing is based on two fundamental hypotheses:

1 Competent Programmer Hypothesis

2 Coupling Effect Hypothesis

(1) Competent Programmer Hypothesis





What do the **programmers** and the **monkeys** have in common when they write programs?

(1) Competent Programmer Hypothesis





What do the **programmers** and the **monkeys** have in common when they write programs?

They both write buggy code!

(1) Competent Programmer Hypothesis





On average, programmers are **competent** (they write **almost correct** programs). A **faulty program** source code is different from the correct one **only in a few**, minor detail.

(2) Coupling Effect Hypothesis



• If a **test suite** detects **all small syntactic faults**, it will also detect **larger, semantics faults**: especially if those semantic faults are **coupled with** the small faults.

• Richard A. DeMillo and Richard J. Lipton and Frederick Gerald Sayward, Hints on Test Data Selection: Help for the Practicing Programmer, Computer, 11(4), 1978.

• A. Jefferson Offutt, Investigations of the Software Testing Coupling Effect , ACM Transactions on Software Engineering and Methodology, 1(1), January 1992.

Fundamental Hypotheses



- Mutation testing is based on two fundamental hypotheses:
 - Competent Programmer Hypothesis: programmers are likely to make simple faults.
 - Oupling Effect Hypothesis: if we catch all the simple faults, we will be able to catch more complicated faults.

Fundamental Hypotheses



- Mutation testing is based on two fundamental hypotheses:
 - Competent Programmer Hypothesis: programmers are likely to make simple faults.
 - 2 Coupling Effect Hypothesis: if we catch all the simple faults, we will be able to catch more complicated faults.

• Let's artificially inject simple faults!

Overall Process





Mutation Generation





• *P'* differs from *P* by a **simple mutation**.

Mutation Generation





- *P'* differs from *P* by a **simple mutation**.
- **Mutation**: a typical simple error programmers are likely to make off-by-one errors, typo, mistaken identity, etc.



An atomic rule that is used to generate a mutant

ABS: Absolute Value Insertion





An atomic rule that is used to generate a mutant

AOR: Arithmetic Operator Replacement





An atomic rule that is used to generate a mutant

ROR: Relational Operator Replacement





An atomic rule that is used to generate a mutant

COR: Conditional Operator Replacement





An atomic rule that is used to generate a mutant

SDL: Statement Deletion





• Any systematic and syntactic change operator can be considered.



- Any systematic and syntactic change operator can be considered.
- For C: 71 Mutation Operators Statement 15, Operator 46, Variable 7, Constant 3
 - Design of Mutant Operators for the C Programming Language by Hiralal Agrawal, Richard A DeMillo, R Hathaway, William Hsu, Wynne Hsu, Edward W Krauser, Rhonda J Martin, Aditya P Mathur, Eugene H Spafford, technical report, Purdue University, 1989



- Any systematic and syntactic change operator can be considered.
- For C: 71 Mutation Operators Statement 15, Operator 46, Variable 7, Constant 3
 - Design of Mutant Operators for the C Programming Language by Hiralal Agrawal, Richard A DeMillo, R Hathaway,William Hsu,Wynne Hsu, Edward W Krauser, Rhonda J Martin, Aditya P Mathur, Eugene H Spafford, technical report, Purdue University, 1989
- For Java: 24 Mutation Operators Access Control 1, Inheritance 7, Polymorphism 4, Overloading 4, Java-Specific Features 4, Common Programming Mistakes 4
 - Y.-S. Ma, Y.-R. Kwon, and J. Offutt. Inter-class mutation operators for java. In Proceedings of the 13th International Symposium on Software Reliability Engineering, ISSRE '02, pages 352–, Washington, DC, USA, 2002. IEEE Computer Society.



- Any systematic and syntactic change operator can be considered.
- For C: 71 Mutation Operators Statement 15, Operator 46, Variable 7, Constant 3
 - Design of Mutant Operators for the C Programming Language by Hiralal Agrawal, Richard A DeMillo, R Hathaway,William Hsu,Wynne Hsu, Edward W Krauser, Rhonda J Martin, Aditya P Mathur, Eugene H Spafford, technical report, Purdue University, 1989
- For Java: 24 Mutation Operators Access Control 1, Inheritance 7, Polymorphism 4, Overloading 4, Java-Specific Features 4, Common Programming Mistakes 4
 - Y.-S. Ma, Y.-R. Kwon, and J. Offutt. Inter-class mutation operators for java. In Proceedings of the 13th International Symposium on Software Reliability Engineering, ISSRE '02, pages 352–, Washington, DC, USA, 2002. IEEE Computer Society.

• For Spreadsheets

• R. Abraham and M. Erwig. Mutation operators for spreadsheets. IEEE Transactions on Software Engineering, 35(1):94–108, 2009.

AAA705 @ Korea University

Mutation Operator – Java



For Java – 1 mutation for Information Hiding (Access Control)

AMC: Access Modifier Change



Y.-S. Ma, Y.-R. Kwon, and J. Offutt. Inter-class mutation operators for java. In Proceedings of the 13th International Symposium on Software Reliability Engineering, ISSRE '02, pages 352–, Washington, DC, USA, 2002. IEEE Computer Society.

Mutation Operator – Java



For Java – 7 mutations for Inheritance

IHD: Hiding Variable Deletion



Y.-S. Ma, Y.-R. Kwon, and J. Offutt. Inter-class mutation operators for java. In Proceedings of the 13th International Symposium on Software Reliability Engineering, ISSRE '02, pages 352–, Washington, DC, USA, 2002. IEEE Computer Society.

AAA705 @ Korea University

Lecture 7 - Mutation Testing

Mutation Operator – Java



For Java – 7 mutations for Inheritance

IHI: Hiding Variable Insertion



Y.-S. Ma, Y.-R. Kwon, and J. Offutt. Inter-class mutation operators for java. In Proceedings of the 13th International Symposium on Software Reliability Engineering, ISSRE '02, pages 352–, Washington, DC, USA, 2002. IEEE Computer Society.

AAA705 @ Korea University

Lecture 7 - Mutation Testing
Mutation Operator – Java



For Java – 7 mutations for Inheritance

IOD: Overriding Method Deletion



Y.-S. Ma, Y.-R. Kwon, and J. Offutt. Inter-class mutation operators for java. In Proceedings of the 13th International Symposium on Software Reliability Engineering, ISSRE '02, pages 352–, Washington, DC, USA, 2002. IEEE Computer Society.

AAA705 @ Korea University

Lecture 7 - Mutation Testing

Mutation Operator – Java



For Java – 7 mutations for Inheritance

IOP: Overriden Method Calling Position Change



Y.-S. Ma, Y.-R. Kwon, and J. Offutt. Inter-class mutation operators for java. In Proceedings of the 13th International Symposium on Software Reliability Engineering, ISSRE '02, pages 352–, Washington, DC, USA, 2002. IEEE Computer Society.

AAA705 @ Korea University

Lecture 7 - Mutation Testing

Mutation Operator – Java



For Java – 4 mutations for Polymorphism

For Java – 4 mutations for Overloading

For Java – 4 mutations for Java-Specific Features

• (e.g., this, static, member variable initialization, default constructor)

For Java – 4 mutations for Common Programming Mistakes

Y.-S. Ma, Y.-R. Kwon, and J. Offutt. Inter-class mutation operators for java. In Proceedings of the 13th International Symposium on Software Reliability Engineering, ISSRE '02, pages 352–, Washington, DC, USA, 2002. IEEE Computer Society.

Mutation Operator – Spreadsheets



- Any systematic and syntactic change operator can be considered.
- For **spreadsheets**¹:
 - RCR (Reference for Constant Replacement)
 - FRC (Formula Replacement with Constants)
 - CRE (Contiguous Range Expansion)
 - CRS (Contiguous Range Shrinking)

AAA705 @ Korea University

[•] etc.

¹R. Abraham and M. Erwig. Mutation operators for spreadsheets. IEEE Transactions on Software Engineering, 35(1):94–108, 2009.

Kill vs Alive





Test:
$$(y, z) = (2, 2)$$
4
4
Alive

Test: $(y, z) = (3, 1)$
4
3
Kill

Kill vs Alive







• What if a **mutant** has the same **behavior** as the original program?

- What if a **mutant** has the same **behavior** as the original program?
- For example, consider the following program and its mutant:



- What if a mutant has the same behavior as the original program?
- For example, consider the following program and its mutant:

• Checking whether an arbitrary mutant is equivalent or not is **undecidable**.



- What if a **mutant** has the same **behavior** as the original program?
- For example, consider the following program and its mutant:

- Checking whether an arbitrary mutant is equivalent or not is **undecidable**.
- This is one of the major obstacles to the mainstream adoption of mutation testing.
 - My **mutation score** is 70%. Is my test suite bad, or are there too many equivalent mutants?





$$MS = \frac{(\# \text{ of killed mutants})}{(\# \text{ of non-equivalent mutants})}$$

$$MS = \frac{(\# \text{ of killed mutants})}{(\# \text{ of all mutants})}$$

AAA705 @ Korea University



Three conditions need to be satisfied to kill a mutant:

• **Reachability**: your test execution needs to reach (i.e., cover) the mutant.



Three conditions need to be satisfied to kill a mutant:

- **Reachability**: your test execution needs to reach (i.e., cover) the mutant.
- **Infection**: the mutated code should infect the program state (i.e., the value of the mutated expression differs from the value of the original expression).



Three conditions need to be satisfied to kill a mutant:

- **Reachability**: your test execution needs to reach (i.e., cover) the mutant.
- **Infection**: the mutated code should infect the program state (i.e., the value of the mutated expression differs from the value of the original expression).
- **Propagation**: the infected state should propagate to the observable state.



Three conditions need to be satisfied to kill a mutant:

- **Reachability**: your test execution needs to reach (i.e., cover) the mutant.
- **Infection**: the mutated code should infect the program state (i.e., the value of the mutated expression differs from the value of the original expression).
- **Propagation**: the infected state should propagate to the observable state.

We categorize the kill conditions into two types: weak and strong.

- (Weak Kill) = Reachability + Infection (i.e., we stop after confirming infection, do not check the propagation to the outside world)
- (Strong Kill) = Reachability + Infection + Propagation (i.e., the kill can be observed from the outside world)



if (x < y) { **if** (z < y) { $//if (z < y + 1) {$ if (x < z) { result = z;} else { result = x; } } else { result = y; } } else { result = 0;}

• Reachability Condition:

x < y



if (x < y) { **if** (z < y) { $//if (z < y + 1) {$ if (x < z) { result = z;} else { result = x; } } else { result = y; } } else { result = 0;}

- Reachability Condition:
 - х < у
- Infection Condition:

$$(z < y) != (z < y + 1)$$



if (x < y){ if (z < y) { $//if (z < y + 1) {$ if (x < z) { result = z;} else { result = x; } } else { result = y; } } else { result = 0;}

- Reachability Condition:
 - х < у
- Infection Condition:

$$(z < y) != (z < y + 1)$$

- Weak Kill Condition:
 - (x < y) && ((z < y) != (z < y + 1)) or simply (x < y) && (z == y)



if (x < y){ if (z < y) { $//if (z < y + 1) {$ if (x < z) { result = z;} else { result = x; } } else { result = y; } } else { result = 0;}

• Reachability Condition:

х < у

Infection Condition:

$$(z < y) != (z < y + 1)$$

• Weak Kill Condition:

(x < y) && ((z < y) != (z < y + 1)) or simply (x < y) && (z == y)

• Propagation Condition:

$$y = z$$



if (x < y){ **if** (z < y) { $//if (z < y + 1) {$ if (x < z) { result = z;} else { result = x; } } else { result = v; } } else { result = 0;}

- Reachability Condition:
 - х < у
- Infection Condition:

$$(z < y) != (z < y + 1)$$

- Weak Kill Condition:
 - (x < y) && ((z < y) != (z < y + 1)) or simply (x < y) && (z == y)
- Propagation Condition:

y = z

• Strong Kill Condition:

(x < y) && (z == y) && (y != z)

Scalability



• Normal testing: 1 program × 100 test cases

Scalability



- Normal testing: 1 program \times 100 test cases
- Mutation testing: 1 program × 10000 mutants (including compilation!) × 100 test cases

Scalability



- Normal testing: 1 program × 100 test cases
- Mutation testing: 1 program × 10000 mutants (including compilation!) × 100 test cases
- We tend to get a large number of mutants:
 - No prior knowledge of **which mutation** operator is the **most effective** (w.r.t. improving the test suite quality): the default is to apply everything
 - Programs are large!



• Mutation Sampling – generate a large number of mutants, but use only a subset of them (natural question: how to do we select?)



- Mutation Sampling generate a large number of mutants, but use only a subset of them (natural question: how to do we select?)
- Subsuming Mutant a mutant P' subsumes another mutant P'' if and only if killing P' implies killing P''.
 - True subsumption relationship is **undecidable**.



- Mutation Sampling generate a large number of mutants, but use only a subset of them (natural question: how to do we select?)
- Subsuming Mutant a mutant P' subsumes another mutant P'' if and only if killing P' implies killing P''.
 - True subsumption relationship is **undecidable**.
 - **Dynamic subsumption** is defined w.r.t. a given test suite.



- Mutation Sampling generate a large number of mutants, but use only a subset of them (natural question: how to do we select?)
- Subsuming Mutant a mutant P' subsumes another mutant P'' if and only if killing P' implies killing P''.
 - True subsumption relationship is **undecidable**.
 - **Dynamic subsumption** is defined w.r.t. a given test suite.
 - Static subsumption is defined with results of static analysis.



- Mutation Sampling generate a large number of mutants, but use only a subset of them (natural question: how to do we select?)
- Subsuming Mutant a mutant P' subsumes another mutant P'' if and only if killing P' implies killing P''.
 - True subsumption relationship is **undecidable**.
 - **Dynamic subsumption** is defined w.r.t. a given test suite.
 - Static subsumption is defined with results of static analysis.
- Selective Mutation apply only a subset of mutation operators.

Scalability – Do Smarter



 Super-mutant – compile all mutants into a single program, then, activate a specific subset at the runtime (saves the compilation time).

Scalability – Do Smarter



• **Super-mutant** – **compile** all mutants into a **single program**, then, activate a specific subset at the runtime (saves the compilation time).

• Weak mutation testing – relax the kill criterion to weak kills (requires instrumentation for the embedded oracle).

Scalability – Do Smarter



• **Super-mutant** – **compile** all mutants into a **single program**, then, activate a specific subset at the runtime (saves the compilation time).

• Weak mutation testing – relax the kill criterion to weak kills (requires instrumentation for the embedded oracle).

• Parallel/distributed mutation testing – obvious.

Scalability – Trivial Compiler Equivalence (TCE)

 Trivial Compiler Equivalence (TCE)²- a mutant is trivially equivalent if the binary code of the mutant is same as the binary code of the original program after the compilation (thanks to the compiler optimization).

AAA705 @ Korea University

Lecture 7 - Mutation Testing

²M. Papadakis, Y. Jia, M. Harman, and Y. Le Traon. Trivial compiler equivalence: A large scale empirical study of a simple, fast and effective equivalent mutant detection technique. In Proceedings of the 37th International Conference on Software Engineering-Volume 1, pages 936–946. IEEE Press, 2015.

Scalability – Trivial Compiler Equivalence (TCE)

- Trivial Compiler Equivalence (TCE)²- a mutant is trivially equivalent if the binary code of the mutant is same as the binary code of the original program after the compilation (thanks to the compiler optimization).
- A large scale empirical study showed that TCE can detect 7% of the mutants to be equivalent; more importantly, 21% of all mutants were duplicates (i.e., not equivalent to the original program, but identical to another non-equivalent mutant).

AAA705 @ Korea University

Lecture 7 - Mutation Testing

²M. Papadakis, Y. Jia, M. Harman, and Y. Le Traon. Trivial compiler equivalence: A large scale empirical study of a simple, fast and effective equivalent mutant detection technique. In Proceedings of the 37th International Conference on Software Engineering-Volume 1, pages 936–946. IEEE Press, 2015.

Higher Order Mutants



• First Order Mutants (FOM) – a single mutation operator is applied to the original program.

Higher Order Mutants



- First Order Mutants (FOM) a single mutation operator is applied to the original program.
- Higher Order Mutants (HOM) multiple mutation operators are applied to the original program.

Higher Order Mutants



- First Order Mutants (FOM) a single mutation operator is applied to the original program.
- Higher Order Mutants (HOM) multiple mutation operators are applied to the original program.
- Some studies claim that, while most of the FOMs are trivial to kill, few of them are coupled with real faults.
Higher Order Mutants



- First Order Mutants (FOM) a single mutation operator is applied to the original program.
- Higher Order Mutants (HOM) multiple mutation operators are applied to the original program.
- Some studies claim that, while most of the FOMs are trivial to kill, few of them are coupled with real faults.
- We can **search** for a combination of FOMs that results in a **hard-to-kill** HOM.

Tools



Diverse mutation testing tools are available:

- Fortran Mothra (a long-lasting impact on the mutation testing)
- C/C++ Proteum, MiLU, MUSIC
- Java muJava, Major, Javalanche, PIT
- JavaScript Stryker
- Ruby Heckle
- Python Mutatest

Contents



1. Mutation Testing

Fundamental Hypotheses Overall Process Mutation Generation Kill vs Alive Equivalent Mutants How to Kill A Mutant Scalability Higher Order Mutants Tools

2. Test Flakiness





• A **DevOps** concept popularized by Google, more commonly and also known as: **Continuous Integration and Deployment (CI/CD)**





- A **DevOps** concept popularized by Google, more commonly and also known as: **Continuous Integration and Deployment (CI/CD)**
- Newest version of software is automatically deployed whenever all tests pass.





- A **DevOps** concept popularized by Google, more commonly and also known as: **Continuous Integration and Deployment (CI/CD)**
- Newest version of software is automatically deployed whenever all tests pass.
- Test results are critical
 - False Negative (i.e., test passes even though there is a bug): you end up releasing a buggy software
 - False Positive (i.e., test fails even though there is no bug): slows down the development process





Making "Push On Green" a Reality: Issues and Actions Involved in Maintaining a Production ${\rm Service}^3$

(LISA stands for Large Installation System Administration Conference)

³A USENIX LISA 2014 presentation given by Daniel Klein, Google:

https://www.usenix.org/conference/lisa14/conference-program/presentation/klein

AAA705 @ Korea University

Lecture 7 – Mutation Testing

Test Flakiness



• We call a test case to be **flaky** if it changes outcome against the same codebase.





• We call a test case to be **flaky** if it changes outcome against the same codebase.

• This creates a **huge problem** for Pass on Green philosophy: when a test transitions from pass to fail, is it flaky or is it actually a real problem?

Test Flakiness



"The State of Continuous Integration Testing at Google", John Micco, ICST 2017 Keynote (https://research.google/pubs/pub45880/)

AAA705 @ Korea University

Lecture 7 - Mutation Testing

PLRG

Test Flakiness

PLRG



"The State of Continuous Integration Testing at Google", John Micco, ICST 2017 Keynote (https://research.google/pubs/pub45880/)

AAA705 @ Korea University

Lecture 7 - Mutation Testing



• Parallelism – tests are run in parallel



- Parallelism tests are run in parallel
- Timeouts tests that take too long to run



- Parallelism tests are run in parallel
- **Timeouts** tests that take too long to run
- State Management tests that depend on the state of the system



- Parallelism tests are run in parallel
- **Timeouts** tests that take too long to run
- State Management tests that depend on the state of the system
- Data Management tests that depend on the data



- Parallelism tests are run in parallel
- **Timeouts** tests that take too long to run
- State Management tests that depend on the state of the system
- Data Management tests that depend on the data
- Algorithm Non-deterministic algorithms



• Better Synchonization



- Better Synchonization
- Thread-safe code + independent execution environment



- Better Synchonization
- Thread-safe code + independent execution environment
- Break-down long sequences + step-wise synchronization



- Better Synchonization
- Thread-safe code + independent execution environment
- Break-down long sequences + step-wise synchronization
- Explicit pre-condition setup for both state and data + avoid dependencies between test executions





- Better Synchonization
- Thread-safe code + independent execution environment
- Break-down long sequences + step-wise synchronization
- Explicit pre-condition setup for both state and data + avoid dependencies between test executions
- Fixed seed for random number generation

Your Tests Aren't Flaky



- A talk given by Alister Scott (Automattic) at GTAC 2015 (GTAC – Google Test Automation Conference)
 - https://www.youtube.com/watch?v=hmk1h40shaE
 - Here is the <u>slide</u>
- "That test is falky" is not a get out of jail free card
- A re-run culture is **toxic**



• Detection - is this test failure real, or a result of flakiness?



• Detection – is this test failure real, or a result of flakiness?

• Prediction – how likely is this test case to be flaky?



• Detection – is this test failure real, or a result of flakiness?

• Prediction – how likely is this test case to be flaky?

• Repair - automatically remove flakiness? (Most ambitious goal)



• A test fails. How do you determine whether it is flaky or not?



- A test fails. How do you determine whether it is flaky or not?
- A test case that transitions from pass to fail but does not cover any of the changed part is likely to be flaky! (because the changed behavior is caused by the changed code)



- A test fails. How do you determine whether it is flaky or not?
- A test case that transitions from pass to fail but does not cover any of the changed part is likely to be flaky! (because the changed behavior is caused by the changed code)
- DeFlaker: Automatically Detecting Flaky Tests, Jonathan Bell; Owolabi Legunsen; Michael Hilton; Lamyaa Eloussi; Tifany Yung; Darko Marinov, ICSE 2018

(https://ieeexplore.ieee.org/abstract/document/8453104)



Figure 1: High-level architecture of DEFLAKER, with three phases: before, during and after test execution.

Detected 4,846 flaky tests in 26 open-source projects

Table 1: Number of flaky tests found by re-running 5,966 builds of 26 open-source projects. We consider only new test failures where a test passed on the previous commit, and report flakes reported by each phase of our RRRUN strategies. DEFLAKER found more flaky tests than the Surefire or Fork rerun strategies: only the very costly Reboot strategy found more flaky tests than DEFLAKER.

		Test M	lethods	Total	Conf	irmed fl	aky by	DEFLAKER labeled as:							
		in P	roject	New	RE	RUN stra	itegy	Flak	y	Not Flaky					
Project	#SHAs	Total Failing		Failures	Surefire	+Fork	++Reboot	Confirmed	Unconf.	Confirmed	Unconf.				
achilles	227	337	77	242	13	14	230	225	4	5	8				
ambari	500	896	7	75	52	71	74	74	0	0	1				
assertj-core	29	6,261	2	3	2	2	2	2	0	0	1				
checkstyle	500	1,787	1	1	0	0	0	0	0	0	1				
cloudera.oryx	332	275	23	29	5	5	5	5	20	0	4				
commons-exec	70	89	2	22	22	22	22	21	0	1	0				
dropwizard	298	428	1	60	60	60	60	55	0	5	0				
hadoop	298	2,361	365	1,081	284	865	1,054	1,028	25	26	2				
handlebars	27	712	7	9	3	7	7	6	2	1	0				
hbase	127	431	106	406	62	242	390	383	12	7	4				
hector	159	142	12	87	0	74	79	72	4	7	4				
httpcore	34	712	2	2	2	2	2	1	0	1	0				
jackrabbit-oak	500	4,035	26	34	10	33	34	32	0	2	0				
jimfs	164	628	7	21	21	21	21	15	0	6	0				
logback	50	964	11	18	18	18	18	18	0	0	0				
ninja	317	307	37	122	37	77	110	94	2	16	10				
okhttp	500	1,778	129	333	296	305	310	231	0	79	23				
oozie	113	1,025	1,065	2,246	42	2,032	2,244	2,234	0	10	2				
orbit	227	86	9	86	84	85	85	73	0	12	1				
oryx	212	200	38	46	14	14	46	14	0	32	0				
spring-boot	111	2,002	67	140	73	107	135	135	3	0	2				
tachyon	500	470	4	5	3	5	5	5	0	0	0				
togglz	140	227	21	28	5	14	28	28	0	0	0				
undertow	7	340	0	0	0	0	0	0	0	0	0				
wro4j	306	1,160	114	217	39	96	99	80	8	19	110				
zxing	218	415	2	15	15	15	15	15	0	0	0				
26 Total	5,966	28,068	2, 135	5,328	1,162	4, 186	5,075	4,846	80	229	173				



• Can we build a predictive model that can tell us whether a test case is likely to be flaky?



- Can we build a predictive model that can tell us whether a test case is likely to be flaky?
- One possible approach is to **collect features** of known flaky tests and perform **supervised learning** to predict flakiness.



- Can we build a predictive model that can tell us whether a test case is likely to be flaky?
- One possible approach is to **collect features** of known flaky tests and perform **supervised learning** to predict flakiness.
- "FlakeFlagger: Predicting Flakiness Without Rerunning Tests", Abdulrahman Alshammari, Christopher Morris, Michael Hilton, and Jonathan Bell, ICSE 2021

(https://ieeexplore.ieee.org/abstract/document/9402098)



Figure 1: High-level architecture of DEFLAKER, with three phases: before, during and after test execution.



It utilizes the following features for supervised learning:

	Feature	Description
Test Smells	Indirect Testing Eager Testing Test Run War Conditional Logic Fire and Forget Mystery Guest Assertion Roulette Resources Ontimism	True if the test interacts with the object under test via an intermediary [24] True if the test exercises more than one method of the tested object [24] True if the test allocates a file or resource which might be used by other tests [24] True if the test has a conditional if-statement within the test method body [25] True if the test launches background threads or tasks. [26] True if the test accesses external resources [24] True if the test accesses external resources without checking their availability [24]
Numeric Features	Test Lines of Code Number of Assertions Execution Time Source Covered Lines Covered Lines Source Covered Classes External Libraries Covered Lines Churn	Number of lines of code in the test method body Number of assertions checked by the test Running time for the test execution Number of lines of code in the test method body Number of lines covered by the test Total number of lines of code covered by the test Total number of production classes covered by each test Number of external libraries used by the test h-index capturing churn of covered lines in past 5, 10, 25, 50, 75, 100, 500, and 10,000 commits. Each value h indicates that at least h lines were modified at least h times in that period.



It has 86% prediction accuracy for flaky tests on 23 projects

	Flaky by	FlakeFlagger							V	Vocabulary-Based Approach [12]								Combined Approach						
Project	Tests	Reruns	TP	FN	FP	TN	Pr	R	F	TP	FN	FP	TN	Pr	R	F	ТР	FN	FP	TN	Pr	R	F	
spring-boot	2,108	160	139	21	15	1,933	90%	87%	89%	134	26	703	1,245	16%	84%	27%	143	17	18	1,930	89%	89%	89%	
hbase	431	145	129	16	32	254	80%	89%	84%	89	56	152	134	37%	61%	46%	130	15	33	253	80%	90%	84%	
alluxio	187	116	116	0	0	71	100%	100%	100%	108	8	11	60	91%	93%	92%	116	0	0	71	100%	100%	100%	
okhttp	810	100	52	48	159	551	25%	52%	33%	79	21	444	266	15%	79%	25%	46	54	104	606	31%	46%	37%	
ambari	324	52	47	- 5	- 3	269	94%	90%	92%	36	16	121	151	23%	69%	34%	47	- 5	3	269	94%	90%	92%	
hector	142	33	30	3	8	101	79%	91%	85%	13	20	23	86	36%	39%	38%	25	8	11	98	69%	76%	72%	
activiti	2,043	32	10	22	43	1,968	19%	31%	24%	12	20	531	1,480	2%	38%	4%	7	25	34	1,977	17%	22%	19%	
java-websocket	145	23	19	4	1	121	95%	83%	88%	23	0	74	48	24%	100%	38%	19	4	4	118	83%	83%	83%	
wildfly	1,023	23	11	12	27	973	29%	48%	36%	20	3	554	446	3%	87%	7%	17	6	24	976	41%	74%	53%	
httpcore	712	22	14	8	23	667	38%	64%	47%	16	6	375	315	4%	73%	8%	15	7	24	666	38%	68%	49%	
logback	805	22	3	19	17	766	15%	14%	14%	10	12	259	524	4%	45%	7%	5	17	11	772	31%	23%	26%	
incubator-dubbo	2,174	19	8	11	35	2,120	19%	42%	26%	11	8	813	1,342	1%	58%	3%	13	6	23	2,132	36%	68%	47%	
http-request	163	18	12	6	6	139	67%	67%	67%	16	2	84	61	16%	89%	27%	12	6	6	139	67%	67%	67%	
wro4j	1,135	16	4	12	2	1,117	67%	25%	36%	2	14	101	1,018	2%	12%	3%	0	16	1	1,118	0%	0%	0%	
orbit	86	7	1	6	8	71	11%	14%	12%	6	1	32	47	16%	86%	27%	1	6	7	72	12%	14%	13%	
undertow	183	7	2	5	8	168	20%	29%	24%	6	1	63	113	9%	86%	16%	3	4	8	168	27%	43%	33%	
achilles	1,317	4	2	2	3	1,310	40%	50%	44%	0	4	0	1,313	0%	0%	0%	0	4	0	1,313	0%	0%	0%	
elastic-job-lite	558	3	0	3	0	555	0%	0%	0%	0	3	34	521	0%	0%	0%	1	2	0	555	100%	33%	50%	
zxing	345	2	0	2	2	341	0%	0%	0%	1	1	144	199	1%	50%	1%	0	2	2	341	0%	0%	0%	
assertj-core	6,261	1	0	1	5	6,255	0%	0%	0%	0	1	6	6,254	0%	0%	0%	0	1	0	6,260	0%	0%	0%	
commons-exec	55	1	0	1	1	53	0%	0%	0%	1	0	18	36	5%	100%	10%	0	1	1	53	0%	0%	0%	
handlebars.java	420	1	0	1	5	414	0%	0%	0%	0	1	91	328	0%	0%	0%	0	1	0	419	0%	0%	0%	
ninja	307	1	0	1	3	303	0%	0%	0%	0	1	50	256	0%	0%	0%	0	1	0	306	0%	0%	0%	
Total	21,734	808	599	209	406	20,520	60%	74%	66%	583	225	4,683	16,243	11%	72%	19%	600	208	314	20,612	66%	74%	86%	
AUC (Average per fold)				86%							75%							86%						



 Another way to statically predict flakiness is to use lexical analysis on the test case to extract specific lexical patterns on flaky tests for limited domain (network related latency, external resources not ready, file I/O, etc.)



- Another way to statically predict flakiness is to use lexical analysis on the test case to extract specific lexical patterns on flaky tests for limited domain (network related latency, external resources not ready, file I/O, etc.)
- G. Pinto, B. Miranda, S. Dissanayake, M. d'Amorim, C. Treude, and A. Bertolino. What is the vocabulary of flaky tests? MSR 2020, pages 492–502


- Another way to statically predict flakiness is to use lexical analysis on the test case to extract specific lexical patterns on flaky tests for limited domain (network related latency, external resources not ready, file I/O, etc.)
- G. Pinto, B. Miranda, S. Dissanayake, M. d'Amorim, C. Treude, and A. Bertolino. What is the vocabulary of flaky tests? MSR 2020, pages 492–502
- Static flaky test prediction essentially becomes text classification



```
@Test
public void testCodingEmptvSrcBuffer() throws Exception {
final WritableByteChannelMock channel = new ritableByteChannelMock(64);
final SessionOutputBuffer outbuf = new SessionOutputBufferImpl(1024, 128);
 final BasicHttpTransportMetrics metrics = new BasicHttpTransportMetrics();
 final IdentityEncoder encoder = new IdentityEncoder(channel, outbuf, metrics);
encoder.write(CodecTestUtils.wrap("stuff")):
 final ByteBuffer empty = ByteBuffer.allocate(100);
empty.flip():
encoder.write(emptv):
encoder.write(null):
encoder.complete():
outbuf.flush(channel):
final String s = channel.dump(StandardCharsets.US ASCII):
Assert.assertTrue(encoder.isCompleted()):
Assert.assertEquals("stuff", s):
```

∜

pty src buffer codec test utils standard charsets channel assert equals encoder byte buffer empty test coding empty assert allocate flush outbuf metrics dump complete wrap write flip stuff completed



```
@Test
public void testCodingEmptvSrcBuffer() throws Exception {
final WritableByteChannelMock channel = new ritableByteChannelMock(64);
final SessionOutputBuffer outbuf = new SessionOutputBufferImpl(1024, 128);
 final BasicHttpTransportMetrics metrics = new BasicHttpTransportMetrics();
 final IdentityEncoder encoder = new IdentityEncoder(channel, outbuf, metrics);
encoder.write(CodecTestUtils.wrap("stuff")):
 final ByteBuffer empty = ByteBuffer.allocate(100);
empty.flip():
encoder.write(emptv):
encoder.write(null):
encoder.complete():
outbuf.flush(channel):
final String s = channel.dump(StandardCharsets.US ASCII):
Assert.assertTrue(encoder.isCompleted()):
Assert.assertEquals("stuff", s):
```

∜

pty src buffer codec test utils standard charsets channel assert equals encoder byte buffer empty test coding empty assert allocate flush outbuf metrics dump complete wrap write flip stuff completed



It achieves an F-measure of 0.95 for the prediction of flaky tests.

algorithm	precision	recall	F_1	MCC	AUC
Random Forest	0.99	0.91	0.95	0.90	0.98
Decision Tree	0.89	0.88	0.89	0.77	0.91
Naive Bayes	0.93	0.80	0.86	0.74	0.93
Support Vector	0.93	0.92	0.93	0.85	0.93
Nearest Neighbour	0.97	0.88	0.92	0.85	0.93

Table 3: Classifier performance

Summary



1. Mutation Testing

Fundamental Hypotheses Overall Process Mutation Generation Kill vs Alive Equivalent Mutants How to Kill A Mutant Scalability Higher Order Mutants Tools

2. Test Flakiness

Next Lecture



Mutation Testing (Homework)

Jihyeok Park jihyeok_park@korea.ac.kr https://plrg.korea.ac.kr