

Lecture 24 – Subtype Polymorphism

COSE212: Programming Languages

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2024 Fall

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 - **Parametric polymorphism**
 - **Subtype polymorphism**
 - **Ad-hoc polymorphism**
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- **Parametric polymorphism** is a form of polymorphism by introducing **type variables** and instantiating them with **type arguments**.
- **PTFAE** – TFAE with **parametric polymorphism**.
- In this lecture, we will learn **subtype polymorphism**.
- **STFAE** – TFAE with **subtype polymorphism**.
 - **Interpreter** and **Natural Semantics**
 - **Type Checker** and **Typing Rules**

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To easily explain **subtype polymorphism**, let's support **records** and **record types** to TFAE. (Also, optional type annotations for `val`.)

```
/* STFAE */  
// A record with two fields `a` and `b` whose types are `Number`  
val x: {a: Number, b: Number} = {a=1, b=2}  
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Consider the following expression:

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/* STFAE */  
val f = (x: ???) => x.a  
f({a=1}) + f({a=2, b=3}) + f({c=4, a=5})
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How can we resolve this problem?

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Definition (Subtype Polymorphism)

Subtype polymorphism is a form of polymorphism by introducing **subtype relations** between types.

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```
/* STFAE */  
val f = (x: {a: Number}) => x.a // Allow subtypes of `{a: Number}`  
f({a=1}) + f({a=2, b=3}) + f({c=4, a=5})
```

All the following types are **subtypes** of `{a: Number}`:

`{a: Number}`

`{a: Number, b: Number}`

`{c: Number, a: Number}`

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It corresponds to the **subset relation** between sets in mathematics, and most programming languages support **subtype polymorphism**.

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It corresponds to the **subset relation** between sets in mathematics, and most programming languages support **subtype polymorphism**.

Subtype relations could be defined for other types (e.g., functions, lists, pairs, data types etc.) as well.

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Now, let's extend TFAE into STFAE to support **subtype polymorphism** with **records** and optional type annotations for **val**.

```
/* STFAE */
val x: {a: Number} = {a=1}           // A record with a field `a`
x.a                                  // Access the field `a` of `x`
val x: {a: Top, b: Top} = {a=1, b=x} // `Top` is the top type
val x: Bot = exit                    // Exit the program
...                                  // Not evaluated after `exit`
```

Now, let's extend TFAE into STFAE to support **subtype polymorphism** with **records** and optional type annotations for **val**.

```
/* STFAE */
val x: {a: Number} = {a=1}           // A record with a field `a`
x.a                                 // Access the field `a` of `x`
val x: {a: Top, b: Top} = {a=1, b=x} // `Top` is the top type
val x: Bot = exit                    // Exit the program
...                                  // Not evaluated after `exit`
```

For STFAE, we need to extend **expressions** of TFAE with

- 1 **Optional Type Annotations for val**
- 2 **Records**
- 3 **Field Accesses**
- 4 **Exit** (to immediately exit the program)
- 5 **Record Types**
- 6 **Bottom Type** (corresponding to the empty set)
- 7 **Top Type** (corresponding to the universal set)

- ① **Optional Type Annotations for `val`**
- ② **Records**
- ③ **Field Accesses**
- ④ **Exit** (to immediately exit the program)
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- 1 Optional Type Annotations for `val`
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```
<expr> ::= ...
        | "val" <id> [ ":" <type> ]? "=" <expr> ";"? <expr>
        | "{" [<id> "=" <expr>]*{","} "}"
        | <expr> "." <id>
        | "exit"

<type> ::= ...
        | "{" [<id> ":" <type>]*{","} "}"
        | "Bot"
        | "Top"
```

Duplicate field names are not allowed in records and record types.

Expressions $\mathbb{E} \ni e ::= \dots$	$ \{[x = e]^*\}$ (Record)
$ \text{val } x [:\tau]^? = e; e$ (Val)	$ e.x$ (Access)
	$ \text{exit}$ (Exit)
Types $\mathbb{T} \ni \tau ::= \dots$	
	$ \perp$ (BotT)
$ \{[x : \tau]^*\}$ (RecordT)	$ \top$ (TopT)

```
enum Expr:
  ...
  case Val(name: String, tyOpt: Option[Type], init: Expr, body: Expr)
  case Record(fields: List[(String, Expr)])
  case Access(record: Expr, field: String)
  case Exit
```

```
enum Type:
  ...
  case RecordT(fields: Map[String, Type])
  case BotT
  case TopT
```

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For STFAE, we need to 1) implement the **interpreter** with environments:

```
def interp(expr: Expr, env: Env): Value = ???
```

and 2) define the **natural semantics** with environments:

$$\sigma \vdash e \Rightarrow v$$

For STFAE, we need to 1) implement the **interpreter** with environments:

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def interp(expr: Expr, env: Env): Value = ???
```

and 2) define the **natural semantics** with environments:

$$\sigma \vdash e \Rightarrow v$$

with a new kind of values called **record values**:

Values $\forall \ni v ::= n$ (NumV)
| $\langle \lambda x.e, \sigma \rangle$ (CloV)
| $\{[x = v]^*\}$ (RecordV)

```
enum Value:  
  case NumV(number: BigInt)  
  case CloV(param: String, body: Expr, env: Env)  
  case RecordV(fields: Map[String, Value])
```

```

def interp(expr: Expr, env: Env): Value = expr match
  ...

case Record(fs) =>
  RecordV(fs.map { case (f, e) => (f, interp(e, env)) }.toMap)

case Access(r, f) => interp(r, env) match
  case RecordV(fs) => fs.getOrElse(f, error(s"no such field: $f"))
  case v           => error(s"not a record: ${v.str}")

```

$$\sigma \vdash e \Rightarrow v$$

$$\text{Record} \frac{\sigma \vdash e_1 \Rightarrow v_1 \quad \dots \quad \sigma \vdash e_n \Rightarrow v_n}{\sigma \vdash \{x_1 = e_1, \dots, x_n = e_n\} \Rightarrow \{x_1 = v_1, \dots, x_n = v_n\}}$$

$$\text{Access} \frac{\sigma \vdash e \Rightarrow \{\dots, x = v, \dots\}}{\sigma \vdash e.x \Rightarrow v}$$

```
def interp(expr: Expr, env: Env): Value = expr match
  ...
  case Exit => error("exit")
```

$$\sigma \vdash e \Rightarrow v$$

There is no rule for `exit` because it cannot produce any value.

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  ...
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We cannot draw the derivation tree for the following expression:

```
/* STFAE */ 1 + exit
```

```
def interp(expr: Expr, env: Env): Value = expr match
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There is no rule for `exit` because it cannot produce any value.

We cannot draw the derivation tree for the following expression:

```
/* STFAE */ 1 + exit
```

However, we can draw the derivation tree for the following expression:

```
/* STFAE */ (x: Number) => 1 + exit
```

$$\text{Fun} \frac{}{\emptyset \vdash \lambda x:\text{num}.(1 + \text{exit}) \Rightarrow \langle \lambda x.(1 + \text{exit}), \emptyset \rangle}$$

```
def interp(expr: Expr, env: Env): Value = expr match
  ...

  case Val(name, _, expr, body) =>
    interp(body, env + (name -> interp(expr, env)))
```

$$\sigma \vdash e \Rightarrow v$$

$$\text{Val} \frac{\sigma \vdash e_1 \Rightarrow v_1 \quad \sigma[x \mapsto v_1] \vdash e_2 \Rightarrow v_2}{\sigma \vdash \text{val } x = e_1; e_2 \Rightarrow v_2}$$

$$\text{Val}_\tau \frac{\sigma \vdash e_1 \Rightarrow v_1 \quad \sigma[x \mapsto v_1] \vdash e_2 \Rightarrow v_2}{\sigma \vdash \text{val } x : \tau_0 = e_1; e_2 \Rightarrow v_2}$$

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Type Checker and Typing Rules without Subtyping

Let's ① design **typing rules** of STFAE to define when an expression is well-typed in the form of:

$$\Gamma \vdash e : \tau$$

and ② implement a **type checker** in Scala according to typing rules:

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = ???
```

The type checker returns the **type** of e if it is well-typed, or rejects it and throws a **type error** otherwise.

Similar to TFAE, we will keep track of the **variable types** using a **type environment** Γ as a mapping from variable names to their types.

Type Environments $\Gamma \in \mathbb{X} \xrightarrow{\text{fin}} \mathbb{T}$ (TypeEnv)

```
type TypeEnv = Map[String, Type]
```



```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Record(fields) =>
    RecordT(fields.map { case (f, e) => (f, typeCheck(e, tenv)) }.toMap)
```

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Record} \frac{\Gamma \vdash e_1 : \tau_1 \quad \dots \quad \Gamma \vdash e_n : \tau_n}{\Gamma \vdash \{x_1 = e_1, \dots, x_n = e_n\} : \{x_1 : \tau_1, \dots, x_n : \tau_n\}}$$

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Access(record, f) => typeCheck(record, tenv) match
    case RecordT(fs) => fs.getOrElse(f, error(s"no such field: $f"))
    case ty           => error(s"not a record type: ${ty.str}")
```

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Access} \frac{\Gamma \vdash e : \{\dots, x : \tau, \dots\}}{\Gamma \vdash e.x : \tau}$$

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Exit => BotT
```

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Exit} \frac{}{\Gamma \vdash \text{exit} : \perp}$$

```
def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Val(name, tyOpt, expr, body) =>
    val ty = typeCheck(expr, tenv)

    typeCheck(body, tenv + (name -> ty))
```

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Val} \frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma[x : \tau_1] \vdash e_2 : \tau_2}{\Gamma \vdash \text{val } x = e_1; e_2 : \tau_2}$$

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def typeCheck(expr: Expr, tenv: TypeEnv): Type = expr match
  ...
  case Val(name, tyOpt, expr, body) =>
    val ty = typeCheck(expr, tenv)
    tyOpt.map(givenTy => mustEqual(ty, givenTy))
    val nameTy = tyOpt.getOrElse(ty)
    typeCheck(body, tenv + (name -> nameTy))
```

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Consider the following example:

```
/* STFAE */
val x: {a: Number} = {a=2, b=3}; x.a
```

It fails to type check because:

$$\{a: \text{Number}, b: \text{Number}\} \neq \{a: \text{Number}\}$$

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Let's apply **subtype polymorphism** to fix this problem by introducing a **subtype relation** ($<:$) between types.

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To support **subtype polymorphism**, we need to define a **subtype relation** $<:$ between types.

$$\tau <: \tau'$$

$\tau <: \tau'$ denotes τ is a **subtype** of τ' (or τ' is a **super type** of τ).

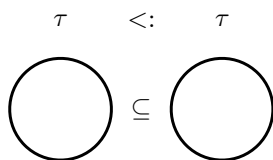
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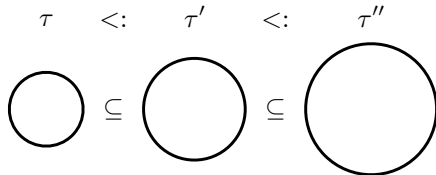
$\tau <: \tau'$ denotes τ is a **subtype** of τ' (or τ' is a **super type** of τ).

First, **subtype relation** is **reflexive** and **transitive**:

$$\frac{}{\tau <: \tau} \qquad \frac{\tau <: \tau' \quad \tau' <: \tau''}{\tau <: \tau''}$$



Reflexivity



Transitivity

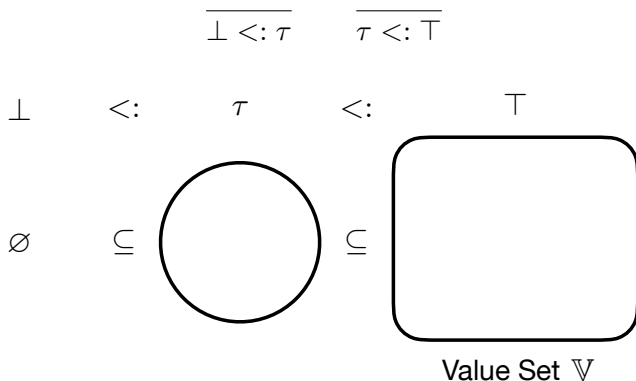
$$\tau <: \tau$$

The **bottom type** \perp and the **top type** \top represent the **empty set** of values and the **universal set** of values, respectively.

$$\tau <: \tau$$

The **bottom type** \perp and the **top type** \top represent the **empty set** of values and the **universal set** of values, respectively.

Thus, \perp is a subtype of any type, and any type is a subtype of \top :



$$\tau <: \tau$$

Let's consider the subtype relation between **record types**.

```
/* STFAE */  
val f = (x: {a: Number}) => x.a  
val y: {a: Number, b: Number} = {a = 1, b = 2}  
f(y)
```

If we **add** any new field to a record type, the resulting type should be a subtype of the original type.

$$\{x_1 : \tau_1, \dots, x_n : \tau_n, x : \tau\} <: \{x_1 : \tau_1, \dots, x_n : \tau_n\}$$

$$\tau <: \tau$$

Let's consider the subtype relation between **record types**.

```
/* STFAE */  
val f = (x: {a: Top, b: Top}) => x  
val x: {a: Number, b: Number} = {a = 1, b = 2}  
f(x)
```

If all fields of a record type are **subtypes** of the corresponding fields of another record type, the resulting type should be a subtype of the other.

$$\frac{\tau_1 <: \tau'_1 \quad \dots \quad \tau_n <: \tau'_n}{\{x_1 : \tau_1, \dots, x_n : \tau_n\} <: \{x_1 : \tau'_1, \dots, x_n : \tau'_n\}}$$

$$\tau <: \tau$$

Let's consider the subtype relation between **record types**.

```
/* STFAE */  
val f = (x: {a: Number, b: Number}) => x  
val x: {b: Number, a: Number} = {a = 1, b = 2}  
f(x)
```

If the fields of a record type is a **permutation** of the fields of another record type, the resulting type should be a subtype of the other.

$$\frac{\{x_1 : \tau_1, \dots, x_n : \tau_n\} \text{ is a permutation of } \{x'_1 : \tau'_1, \dots, x'_n : \tau'_n\}}{\{x_1 : \tau_1, \dots, x_n : \tau_n\} <: \{x'_1 : \tau'_1, \dots, x'_n : \tau'_n\}}$$

$$\tau <: \tau$$

Let's consider the subtype relation between **function types**.

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```
val f = (g: Number => Top) => g(42)
```

$$\tau <: \tau$$

Let's consider the subtype relation between **function types**.

```
val f = (g: Number => Top) => g(42)
```

```
// (Top => Top) <: (Number => Top)
val h: Top => Top = (x: Top) => x
f(h)
```

If the **parameter type** τ_1 is a **super type** of the **parameter type** τ'_1 ,
 $\tau_1 \rightarrow \tau_2$ is a subtype of $\tau'_1 \rightarrow \tau_2$.

$$\tau <: \tau$$

Let's consider the subtype relation between **function types**.

```
val f = (g: Number => Top) => g(42)
```

```
// (Top => Top) <: (Number => Top)
val h: Top => Top = (x: Top) => x
f(h)
```

If the **parameter type** τ_1 is a **super type** of the **parameter type** τ'_1 ,
 $\tau_1 \rightarrow \tau_2$ is a subtype of $\tau'_1 \rightarrow \tau_2$.

```
// (Number => Number) <: (Number => Top)
val h: Number => Number = (x: Number) => x + 1
f(h)
```

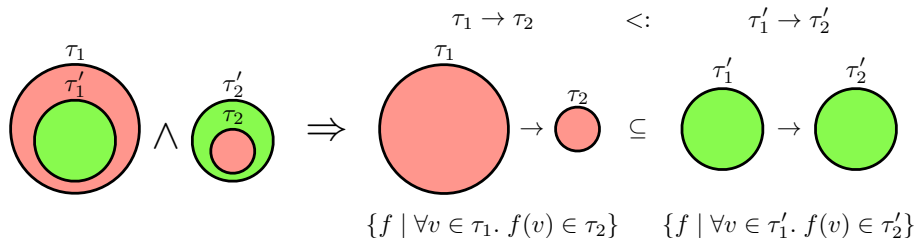
Reversely, if the **return type** τ_2 is a **subtype** of the **return type** τ'_2 ,
 $\tau_1 \rightarrow \tau_2$ is a subtype of $\tau_1 \rightarrow \tau'_2$.

$$\tau <: \tau$$

If the following conditions are satisfied, $\tau_1 \rightarrow \tau_2$ is a subtype of $\tau'_1 \rightarrow \tau'_2$.

- the **parameter type** τ_1 is a **super type** of the **parameter type** τ'_1 .
- the **return type** τ_2 is a **subtype** of the **return type** τ'_2 .

$$\frac{\tau_1 :> \tau'_1 \quad \tau_2 <: \tau'_2}{(\tau_1 \rightarrow \tau_2) <: (\tau'_1 \rightarrow \tau'_2)}$$



One possible way to support subtype polymorphism is to add a general **subsumption** rule to the typing rules:

$$\frac{\Gamma \vdash e : \tau \quad \tau <: \tau'}{\Gamma \vdash e : \tau'}$$

With this rule, we can type the following expression.

```
/* STFAE */
val x: {a: Number} = {a=2, b=3}; x.a
```

$$\frac{\frac{\dots}{\emptyset \vdash \{a = 2, b = 3\} : \{a : \text{num}, b : \text{num}\}} \quad \frac{\dots}{\{a : \text{num}, b : \text{num}\} <: \{a : \text{num}\}}}{\emptyset \vdash \{a = 2, b = 3\} : \{a : \text{num}\}} \quad \dots}{\emptyset \vdash \text{val } x : \{a : \text{num}\} = \{a = 2, b = 3\}; x.a : \text{num}}$$

One possible way to support subtype polymorphism is to add a general **subsumption** rule to the typing rules:

$$\frac{\Gamma \vdash e : \tau \quad \tau <: \tau'}{\Gamma \vdash e : \tau'}$$

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However, it is **not algorithmic** because we don't know which types are required as the result of subsumption.

Another way is to **directly apply** the subtype relation to the each typing rule without subsumption, and it is **algorithmic**.

$$\boxed{\Gamma \vdash e : \tau}$$

$$\tau\text{-Add} \frac{\Gamma \vdash e_1 : \tau_1 \quad \tau_1 <: \text{num} \quad \Gamma \vdash e_2 : \tau_2 \quad \tau_2 <: \text{num}}{\Gamma \vdash e_1 + e_2 : \text{num}}$$

$$\tau\text{-Mul} \frac{\Gamma \vdash e_1 : \tau_1 \quad \tau_1 <: \text{num} \quad \Gamma \vdash e_2 : \tau_2 \quad \tau_2 <: \text{num}}{\Gamma \vdash e_1 \times e_2 : \text{num}}$$

$$\tau\text{-Val}_\tau \frac{\Gamma \vdash e_1 : \tau_1 \quad \tau_1 <: \tau_0 \quad \Gamma[x : \tau_0] \vdash e_2 : \tau_2}{\Gamma \vdash \text{val } x : \tau_0 = e_1; e_2 : \tau_2}$$

$$\tau\text{-App} \frac{\Gamma \vdash e_0 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash e_1 : \tau_3 \quad \tau_3 <: \tau_1}{\Gamma \vdash e_0(e_1) : \tau_2}$$

Summary

1. Subtype Polymorphism
2. STFAE – TFAE with Subtype Polymorphism
 - Concrete Syntax
 - Abstract Syntax
3. Interpreter and Natural Semantics for STFAE
4. Type Checker and Typing Rules without Subtyping
 - Records
 - Field Accesses
 - Exit
 - Immutable Variable Definition
5. Subtype Relation
 - Bottom Type and Top Type
 - Record Types
 - Function Types
 - Typing Rules with Subsumption
 - Algorithmic Typing Rules without Subsumption

<https://github.com/ku-plrg-classroom/docs/tree/main/cose212/stfae>

- Please see above document on GitHub:
 - Implement `typeCheck` function.
 - Implement `interp` function.
- It is just an exercise, and you **don't need to submit** anything.
- However, some exam questions might be related to this exercise.

- Type Inference (1)

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