

Midterm Exam

COSE212: Programming Languages

2023 Fall

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- **If you are not good at English, please write your answers in Korean.**
(영어가 익숙하지 않은 경우, 답안을 한글로 작성해 주세요.)
- **Write answers in good handwriting.**
If we cannot recognize your answers, you will not get any points.
(글씨를 알아보기 힘들면 점수를 드릴 수 없습니다. 답안을 읽기 좋게 작성해주세요.)
- **Write your answers in the boxes provided.**
(답안을 제공된 박스 안에 작성해 주세요.)
- **There are 8 pages and 9 questions.**
(시험은 8장으로 구성되어 있으며, 총 9개의 문제가 있습니다.)
- **Syntax and Semantics of Languages are given in Appendix.**
(언어의 문법과 의미는 부록에서 참조할 수 있습니다.)

Student ID	
Student Name	

[illegible]

1. 10 points The following sentences explain basic concepts of programming languages. Fill in the blanks with the following terms (**2pt per blank**):

binding	call-by-need	closure	first-order	pure
bound	call-by-reference	environment	free	shadowed
call-by-name	call-by-value	first-class	mutable	shadowing

- A(n) free identifier is an identifier not yet defined in the program's current scope.
- A language supporting first-class functions allows functions to be treated as values. Such a function value is called a(n) closure, defined with its environment that captures the bound identifiers when the function is defined.
- The semantics of function applications depend on the evaluation strategy:
 1. In call-by-reference, addresses of variables used as arguments are passed to the function.
 2. In call-by-need, the evaluation of arguments is delayed until their values are needed and memoized for future reuse.

2. 10 points Consider the following FACE expression:

```
/* FACE */
val f = { x => h ( x ) } ;
// 1   2   3   4
val g = { x => g ( x ) } ;
// 5   6   7   8
{ val y = 42 ; y + 1 } +
// 9       10
{ 3 * f ( y ) }
// 11  12
```

Fill in the blanks in the following table (**2pt per blank**):

- If the identifier is a free identifier, write **F**.
- If the identifier is a bound occurrence, write the **index** k of the corresponding binding occurrence.

Identifier Name	h	x	g	x	y	f	y
Identifier Lookup (k)	3	4	7	8	10	11	12
Binding Occurrence (k) / Free (F)	F	2	F	6	9	1	F

For example, already filled two cases represent that 1) the identifier **h** at index 3 is a free identifier (F), and 2) the bound occurrence of **x** at index 4 corresponds to the binding occurrence of **x** at index 2.

3. Write the results of evaluating each FACE expression with the **static scoping** and **dynamic scoping**, respectively.

- If the expression e evaluates to a value v , write the value v .
- If the expression e does not terminate, write “**not terminate**”.
- If the expression e throws a run-time error, write “**error**”.

```
/* FACE */
val f = x => y => x * y;
val x = 3;
f(4)(5)
```

(a) 2 points Static Scoping:

(b) 3 points Dynamic Scoping:

```
/* FACE */
val f = x => f(x);
f(42)
```

(c) 2 points Static Scoping:

(d) 3 points Dynamic Scoping:

4. 5 points In the following FACE expression, the identifier **fac** represents a recursive function that computes the factorial of a given integer. Fill in the blank (A) with an expression that evaluates the entire expression to 720 ($= 6! = 6 * 5 * 4 * 3 * 2 * 1$).

```
/* FACE */
val mkRec = body => {
  val f = fX => fX(fX);
  f(fY => body(

```

(A) =

5. This question extends **FACE** with logical operators, conjunction (**&&**), disjunction (**||**), and negation (**!**). Note that they should support **short-circuit evaluation**:

- **true || (1(2))** should evaluate to **true** without evaluating **1(2)**
- **false && (1(2))** should evaluate to **false** without evaluating **1(2)**.

While the left operand of conjunction and disjunction should evaluate to a boolean value, the right operand accepts any value:

- **1 && 2** should throw a run-time error because **1** is not a boolean value.
- **true && 1** should evaluate to **1** even though **1** is not a boolean value.

The following is the modified part of the abstract syntax of **FACE**:

Expressions $\mathbb{E} \ni e ::= \dots \mid e \ \&\& \ e \text{ (And)} \mid e \ || \ e \text{ (Or)} \mid ! \ e \text{ (Not)}$

There are two different ways to define the semantics of the logical operators using **1)** syntactic sugar with **desugaring rules** or **2) big-step operational semantics**.

- (a) 5 points The following **desugaring rules** define the semantics of logical operators by treating them as syntactic sugar.

$$\begin{aligned} \mathcal{D}[e_1 \ \&\& \ e_2] &= \text{if } (\mathcal{D}[e_1]) \ \mathcal{D}[e_2] \text{ else false} \\ \mathcal{D}[e_1 \ || \ e_2] &= \text{if } (\mathcal{D}[e_1]) \ \text{true} \text{ else } \mathcal{D}[e_2] \\ \mathcal{D}[! \ e] &= \text{if } (\mathcal{D}[e]) \ \text{false} \text{ else true} \end{aligned}$$

Write the result of the following desugaring using both the **original** and **above** rules:

$$\mathcal{D}[\text{val } x = y + 1; x \ \&\& \ 2] = \boxed{(x \Rightarrow \text{if } (x) \ 2 \text{ else false})(y + 1)}$$

- (b) 10 points Define the **big-step operational semantics** of the newly added logical operators: conjunction (**&&**), disjunction (**||**), and negation (**!**).

$$\text{And}_T \frac{\sigma \vdash e_0 \Rightarrow \text{true} \quad \sigma \vdash e_1 \Rightarrow v_1}{\sigma \vdash e_0 \ \&\& \ e_1 \Rightarrow v_1} \quad \text{And}_F \frac{\sigma \vdash e_0 \Rightarrow \text{false}}{\sigma \vdash e_0 \ \&\& \ e_1 \Rightarrow \text{false}}$$

$$\text{Or}_T \frac{\sigma \vdash e_0 \Rightarrow \text{true}}{\sigma \vdash e_0 \ || \ e_1 \Rightarrow \text{true}} \quad \text{Or}_F \frac{\sigma \vdash e_0 \Rightarrow \text{false} \quad \sigma \vdash e_1 \Rightarrow v_1}{\sigma \vdash e_0 \ || \ e_1 \Rightarrow v_1}$$

$$\text{Not} \frac{\sigma \vdash e \Rightarrow b}{\sigma \vdash ! \ e \Rightarrow \neg b}$$

6. This question modifies the semantics of **FACE** to support **lazy evaluation** but in a different way from the one we learned in class:

$$\text{App} \frac{\sigma \vdash e_0 \Rightarrow \langle \lambda x. e_2, \sigma' \rangle \quad \sigma'[x \mapsto \langle \langle e_1 \rangle \rangle] \vdash e_2 \Rightarrow v_2}{\sigma \vdash e_0(e_1) \Rightarrow v_2} \quad \text{Id} \frac{\sigma(x) = \langle \langle e \rangle \rangle \quad \sigma \vdash e \Rightarrow v}{\sigma \vdash x \Rightarrow v}$$

with new kinds of values called **expression values** without environments:

$$\text{Values} \quad \mathbb{V} \ni v ::= \dots \mid \langle \langle e \rangle \rangle \quad (\text{ExprV})$$

- (a) 5 points While the following **FACE** expression throws a free identifier error in the original semantics, it should be evaluated to 42 in the modified semantics.

```
/* FACE */ (x => y => y)(z)(42)
```

Fill the blanks in the following **derivation tree** in the modified semantics of **FACE**.

$$\text{App} \frac{\dots}{\emptyset \vdash (\lambda x. \lambda y. y)(z) \Rightarrow \langle \lambda y. y, \sigma_0 \rangle} \quad \text{Id} \frac{\sigma_1(y) = \langle \langle 42 \rangle \rangle \quad \sigma_1 \vdash 42 \Rightarrow 42}{\sigma_1 \vdash y \Rightarrow 42}$$

$$\text{App} \frac{}{\emptyset \vdash (\lambda x. \lambda y. y)(z)(42) \Rightarrow 42}$$

where $\sigma_0 = [x \mapsto \langle \langle z \rangle \rangle]$ and $\sigma_1 = \sigma_0[y \mapsto \langle \langle 42 \rangle \rangle]$.

- (b) 10 points Write a **FACE** expression evaluating different number values in the original and modified semantics, and write each resulting number value in blanks.

```
val x = 1;
val f = x => y => y;
f(2)(x)
```

Original:

1

/ Modified:

2

7. 5 points **True/False questions.** Answer O for True and X for False.
(Each question is worth **1 points**, but you will get **-1 points** for each wrong answer.)

1. We can apply the tail-call optimization to the following Scala function.

X

`def sum(n: Int): Int = if (n == 0) 0 else n + sum(n - 1)`

2. A naïve reference counting cannot deal with reference cycles.

O

3. A mark-and-sweep garbage collection algorithm has a fragmentation problem.

O

4. There is no free list to maintain in a copying garbage collection algorithm.

O

5. A copying garbage collection algorithm can allocate a memory cell anywhere, regardless of the from-space and the to-space.

X

8. This question extends MFAE into IMFAE with an **increment operator** (`++`) and **call-by-reference** evaluation strategy **only in variable definitions**.

The following is the modified part of the concrete/abstract syntax of IMFAE:

`<expr> ::= ... | <id> ++` Expressions $\mathbb{E} \ni e ::= \dots \mid x ++$ (Inc)

and the following is the modified part of the big-step operational semantics of IMFAE:

$$\text{Inc} \frac{x \in \text{Domain}(\sigma) \quad \sigma(x) = a \quad M(a) = n}{\sigma, M \vdash x ++ \Rightarrow n, M[a \mapsto n + 1]}$$

$$\text{Var}_x \frac{y \in \text{Domain}(\sigma) \quad \sigma[x \mapsto \sigma(y)], M \vdash e \Rightarrow v, M'}{\sigma, M \vdash \text{var } x=y; e \Rightarrow v, M'} \quad \text{Var} \frac{\dots \quad \forall y \in \mathbb{X}. e_1 \neq y}{\sigma, M \vdash \text{var } x=e_1; e_2 \Rightarrow v_2, M_2}$$

The following is the Scala code for the modified part of the interpreter:

```
enum Expr
  ...
  case Inc(x: String)

def lookupId(env: Env, name: String): Addr =
  env.getOrElse(name, error(s"free identifier: $name"))

def getNumber(v: Value): BigInt = v match
  case NumV(n) => n
  case _       => error(s"not a number: ${v.str}")

def interp(expr: Expr, env: Env, mem: Mem): (Value, Mem) = expr match
  ...
  case Var(x, Id(y), e) => (A)
  case Var(x, e1, e2) => ...
  ...
  case Inc(x) => (B)
```

- (a) 5 points Fill in the blank (A) in the Scala code (Hint: use `lookupId`).

(A) =

```
interp(e, env + (x -> lookupId(env, y)), mem)
```

- (b) 5 points Fill in the blank (B) in the Scala code (Hint: use `lookupId` and `getNumber`).

(B) =

```
val a = lookupId(env, x)
val n = getNumber(mem(a))
(NumV(n), mem + (a -> NumV(n + 1)))
```

- (c) 5 points Write the result of evaluating the following IMFAE expression.

```
/* IMFAE */
var f = z => z = z * 5;
var x = 1;
var y = x;
f(x); y++; y * x++
```

Result:

4

9. This question extends MFAE into PMFAE with **pointers** and **loops**.

The following is the modified part of the concrete/abstract syntax of PMFAE:

$\langle \text{expr} \rangle ::= \dots$ $\quad \text{"\&" } \langle \text{id} \rangle$ $\quad \text{"*"} \langle \text{expr} \rangle$ $\quad \text{"*"} \langle \text{expr} \rangle \text{"="} \langle \text{expr} \rangle$ $\quad \text{"until0"} \text{"(" } \langle \text{expr} \rangle \text{")"} \langle \text{expr} \rangle$	$\mathbb{E} \ni e ::= \dots$ $\quad \& x \quad (\text{Ref})$ $\quad * e \quad (\text{Deref})$ $\quad * e = e \quad (\text{RefAssign})$ $\quad \text{until0 } (e) e \quad (\text{UntilZero})$
---	--

with new kinds of values called **pointer values**:

Values $\mathbb{V} \ni v ::= \dots \quad | \quad a \quad (\text{PtrV})$

The following is the modified part of the Scala code for PMFAE interpreter:

```
enum Expr:
  ...
  case Ref(x: String)
  case Deref(expr: Expr)
  case RefAssign(ref: Expr, expr: Expr)
  case UntilZero(cond: Expr, body: Expr)

enum Value:
  ...
  case PtrV(addr: Addr)

def getAddr(v: Value): Addr = v match
  case PtrV(addr) => addr
  case _ => error(s"not a reference: ${v.str}")

def interp(expr: Expr, env: Env, mem: Mem): (Value, Mem) = expr match
  ...
  case Ref(name) => (PtrV(lookupId(env, name)), mem)

  case Deref(expr) =>
    val (ev, emem) = interp(expr, env, mem)
    (emem.getAddr(ev), emem)

  case RefAssign(ref, expr) =>
    val (rv, rmem) = interp(ref, env, mem)
    val (ev, emem) = interp(expr, env, rmem)
    (ev, emem + (getAddr(rv) -> ev))

  case UntilZero(cond, body) =>
    val (cv, cmem) = interp(cond, env, mem)
    cv match
      case NumV(0) => (NumV(0), cmem)
      case NumV(_) =>
        val (_, bmem) = interp(body, env, cmem)
        interp(expr, env, bmem)
      case _ => error(s"not a number: ${cv.str}")
```


- (a) 12 points Write the inference rules for the **big-step operational semantics** of the newly added four syntactic cases (**Ref**, **Deref**, **RefAssign**, and **UntilZero**) in PMFAE according to the Scala code.

$$\text{Ref} \frac{x \in \text{Domain}(\sigma)}{\sigma, M \vdash \& x \Rightarrow \sigma(x), M}$$

$$\text{Deref} \frac{\sigma, M \vdash e \Rightarrow a, M'}{\sigma, M \vdash * e \Rightarrow M'(a), M'}$$

$$\text{RefAssign} \frac{\sigma, M \vdash e_1 \Rightarrow a, M_1 \quad \sigma, M_1 \vdash e_2 \Rightarrow v_2, M_2}{\sigma, M \vdash * e_1 = e_2 \Rightarrow v_2, M_2[a \mapsto v_2]}$$

$$\text{UntilZero}_0 \frac{\sigma, M \vdash e_1 \Rightarrow 0, M_1}{\sigma, M \vdash \text{until0 } (e_1) e_2 \Rightarrow 0, M_1}$$

$$\text{UntilZero}_n \frac{n \neq 0 \quad \sigma, M_1 \vdash e_2 \Rightarrow \cdot, M_2 \quad \sigma, M_2 \vdash \text{until0 } (e_1) e_2 \Rightarrow v_3, M_3}{\sigma, M \vdash \text{until0 } (e_1) e_2 \Rightarrow v_3, M_3}$$

- (b) 3 points Fill in the blanks in the following PMFAE expression to make it swap the values of **a** and **b** using the **swap** function (**1pt per blank**).

```
/* PMFAE */
var swap = x => y => { (A) };
var a = 1; var b = 2;
swap((B))((C));
// a == 2 and b == 1
```

(A) = var t = *x; *x = *y; *y = t

(B) = &a

(C) = &b

This is the last page.
I hope that your tests went well!

Appendix

FACE – Functions and Arithmetic Conditional Expressions

Syntax

```

<expr> ::= <id> | <number> | "true" | "false"
         | "(" <expr> ")" | "{" <expr> "}"
         | <expr> + <expr> | <expr> * <expr> | <expr> < <expr>
         | "val" <id> "=" <expr> ";" <expr> |
         | <id> "=" <expr> | <expr> "(" <expr> ")"
         | "if" "(" <expr> ")" <expr> "else" <expr>

```

Expressions	$\mathbb{E} \ni e ::= x$ (Id)	$ e + e$ (Add)	$ \lambda x. e$ (Fun)
	$ n$ (Num)	$ e \times e$ (Mul)	$ e(e)$ (App)
	$ b$ (Bool)	$ e < e$ (Lt)	$ \text{if } (e) \ e \ \text{else } e$ (If)

where

Integers	$n \in \mathbb{Z}$ (BigInt)	Identifiers	$x, y \in \mathbb{X}$ (String)
Booleans	$b \in \mathbb{B} = \{\text{true}, \text{false}\}$ (Boolean)		

Semantics

 $\sigma \vdash e \Rightarrow v$

$\text{Id} \frac{x \in \text{Domain}(\sigma)}{\sigma \vdash x \Rightarrow \sigma(x)}$	$\text{Num} \frac{}{\sigma \vdash n \Rightarrow n}$	$\text{Bool} \frac{}{\sigma \vdash b \Rightarrow b}$
$\text{Add} \frac{\sigma \vdash e_1 \Rightarrow n_1 \quad \sigma \vdash e_2 \Rightarrow n_2}{\sigma \vdash e_1 + e_2 \Rightarrow n_1 + n_2}$	$\text{Mul} \frac{\sigma \vdash e_1 \Rightarrow n_1 \quad \sigma \vdash e_2 \Rightarrow n_2}{\sigma \vdash e_1 \times e_2 \Rightarrow n_1 \times n_2}$	
$\text{Lt} \frac{\sigma \vdash e_1 \Rightarrow n_1 \quad \sigma \vdash e_2 \Rightarrow n_2}{\sigma \vdash e_1 < e_2 \Rightarrow n_1 < n_2}$	$\text{Fun} \frac{}{\sigma \vdash \lambda x. e \Rightarrow \langle \lambda x. e, \sigma \rangle}$	
$\text{App} \frac{\sigma \vdash e_0 \Rightarrow \langle \lambda x. e_2, \sigma' \rangle \quad \sigma \vdash e_1 \Rightarrow v_1 \quad \sigma'[x \mapsto v_1] \vdash e_2 \Rightarrow v_2}{\sigma \vdash e_0(e_1) \Rightarrow v_2}$		
$\text{If}_T \frac{\sigma \vdash e_0 \Rightarrow \text{true} \quad \sigma \vdash e_1 \Rightarrow v_1}{\sigma \vdash \text{if } (e_0) \ e_1 \ \text{else } e_2 \Rightarrow v_1}$	$\text{If}_F \frac{\sigma \vdash e_0 \Rightarrow \text{false} \quad \sigma \vdash e_2 \Rightarrow v_2}{\sigma \vdash \text{if } (e_0) \ e_1 \ \text{else } e_2 \Rightarrow v_2}$	

where

Values	$\mathbb{V} \ni v ::= n$ (NumV) $ b$ (BoolV) $ \langle \lambda x. e, \sigma \rangle$ (CloV)	Environments	$\sigma \in \mathbb{X} \xrightarrow{\text{fin}} \mathbb{V}$ (Env)
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The semantics of variable definitions is defined as syntactic sugar, and other cases recursively apply the desugaring rule to sub-expressions.

$$\mathcal{D}[\text{val } x=e; \ e'] = (\lambda x. \mathcal{D}[e']) (\mathcal{D}[e])$$

MFAE – Mutable Variables, Functions, and Arithmetic Expressions

Syntax

```

<expr> ::= <number> | "(" <expr> ")" | "{" <expr> "}"
        | <expr> "+" <expr> | <expr> "*" <expr>
        | <id> | <id> "=" <expr> | <expr> "(" <expr> ")"
        | "var" <id> "=" <expr> ";" <expr>
        | <id> "=" <expr> | <expr> ";" <expr>
    
```

Expressions	$\mathbb{E} \ni e ::= n$ (Num)	$ x$ (Id)	$ \text{var } x=e; e$ (Var)
	$ e + e$ (Add)	$ \lambda x.e$ (Fun)	$ x=e$ (Assign)
	$ e \times e$ (Mul)	$ e(e)$ (App)	$ e; e$ (Seq)

where

Integers $n \in \mathbb{Z}$ (BigInt)	Identifiers $x, y \in \mathbb{X}$ (String)
--------------------------------------	--

Semantics

 $\sigma, M \vdash e \Rightarrow v, M$

$$\text{Num} \frac{}{\sigma, M \vdash n \Rightarrow n, M}$$

$$\text{Add} \frac{\sigma, M \vdash e_1 \Rightarrow n_1, M_1 \quad \sigma, M_1 \vdash e_2 \Rightarrow n_2, M_2}{\sigma, M \vdash e_1 + e_2 \Rightarrow n_1 + n_2, M_2}$$

$$\text{Mul} \frac{\sigma, M \vdash e_1 \Rightarrow n_1, M_1 \quad \sigma, M_1 \vdash e_2 \Rightarrow n_2, M_2}{\sigma, M \vdash e_1 \times e_2 \Rightarrow n_1 \times n_2, M_2}$$

$$\text{Id} \frac{x \in \text{Domain}(\sigma)}{\sigma, M \vdash x \Rightarrow M(\sigma(x)), M}$$

$$\text{Fun} \frac{}{\sigma, M \vdash \lambda x.e \Rightarrow \langle \lambda x.e, \sigma \rangle, M}$$

$$\text{App} \frac{\begin{array}{l} \sigma, M \vdash e_1 \Rightarrow \langle \lambda x.e_3, \sigma' \rangle, M_1 \quad \sigma, M_1 \vdash e_2 \Rightarrow v_2, M_2 \\ a \notin \text{Domain}(M_2) \quad \sigma'[x \mapsto a], M_2[a \mapsto v_2] \vdash e_3 \Rightarrow v_3, M_3 \end{array}}{\sigma, M \vdash e_1(e_2) \Rightarrow v_3, M_3}$$

$$\text{Var} \frac{\sigma, M \vdash e_1 \Rightarrow v_1, M_1 \quad a \notin \text{Domain}(M_1) \quad \sigma[x \mapsto a], M_1[a \mapsto v_1] \vdash e_2 \Rightarrow v_2, M_2}{\sigma, M \vdash \text{var } x=e_1; e_2 \Rightarrow v_2, M_2}$$

$$\text{Assign} \frac{\sigma, M \vdash e \Rightarrow v, M' \quad x \in \text{Domain}(\sigma)}{\sigma, M \vdash x=e \Rightarrow v, M'[\sigma(x) \mapsto v]} \quad \text{Seq} \frac{\sigma, M \vdash e_1 \Rightarrow _, M_1 \quad \sigma, M_1 \vdash e_2 \Rightarrow v_2, M_2}{\sigma, M \vdash e_1; e_2 \Rightarrow v_2, M_2}$$

where

Environments	$\sigma \in \mathbb{X} \xrightarrow{\text{fin}} \mathbb{A}$ (Env)	Memories	$M \in \mathbb{A} \xrightarrow{\text{fin}} \mathbb{V}$ (Mem)
Values	$\mathbb{V} \ni v ::= n$ (NumV)	Addresses	$a \in \mathbb{A}$ (Addr)
	$ \langle \lambda x.e, \sigma \rangle$ (CloV)		