

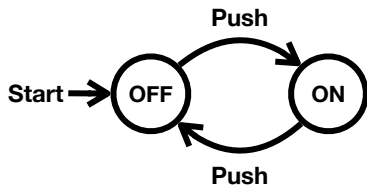
# Lecture 1 – Mathematical Preliminaries

## COSE215: Theory of Computation

Jihyeok Park



2024 Spring



## Theorem

*The current state is OFF if and only if the button is pushed **even** times.*

- Is it possible to prove it?

Let's learn **mathematical background and notation**.

## 1. Mathematical Notations

Notations in Logics

Notations in Set Theory

## 2. Inductive Proofs

Inductions on Integers

Structural Inductions

Mutual Inductions

## 3. Notations in Languages

Symbols & Words

Languages

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Notation	Description
$A, B$	arbitrary <b>statements</b> .
$P(x)$	a <b>predicate</b> that involves a <b>variable</b> $x$ .
$A \wedge B$	the <b>conjunction</b> of $A$ and $B$ . (i.e., “ $A$ and $B$ ”).
$A \vee B$	the <b>disjunction</b> of $A$ and $B$ . (i.e., “ $A$ or $B$ ”).
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$$(\text{Truth Table}) =$$

$A$	$B$	$\neg(A \wedge B)$	$\neg A \vee \neg B$
$T$	$T$	$F$	$F$
$T$	$F$	$T$	$T$
$F$	$T$	$T$	$T$
$F$	$F$	$T$	$T$

Notation	Description
$A \Rightarrow B$	the <b>implication</b> of $A$ and $B$ (i.e., “if $A$ then $B$ ” or “ $A$ implies $B$ ”) (i.e., $\neg A \vee B$ ).
$A \Leftrightarrow B$	<b><math>A</math> if and only if (iff) <math>B</math></b> (i.e., $A \Rightarrow B \wedge B \Rightarrow A$ ).
$\forall x \in X. P(x)$	the <b>universal quantifier</b> (i.e., “for all $x$ in $X$ , $P(x)$ holds”).
$\exists x \in X. P(x)$	the <b>existential quantifier</b> (i.e., “there exists $x$ in $X$ such that $P(x)$ holds”).



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- A **proper subset**  $X$  of a set  $Y$  is denoted by  $X \subset Y$ .

$$X \subset Y \iff X \subseteq Y \wedge X \neq Y$$

- The **union** of sets

$$X \cup Y = \{x \mid x \in X \vee x \in Y\}$$

$$\bigcup \mathcal{C} = X_1 \cup X_2 \cup \dots \cup X_n = \{x \mid \exists X \in \mathcal{C}. x \in X\}$$

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- The **difference** of sets

$$X \setminus Y = \{x \mid x \in X \wedge x \notin Y\}$$

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- The **Cartesian product** of sets  $X$  and  $Y$  is denoted by  $X \times Y$ .

$$X \times Y = \{(x, y) \mid x \in X \wedge y \in Y\}$$

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## Definition (Inductions on Integers)

Let  $P(n)$  be a predicate on integers, and if

- **(Basis Case)**  $P(k)$  holds where  $k$  is an integer, and
- **(Induction Case)** for all integer  $n \geq k$ ,  $P(n) \Rightarrow P(n + 1)$ ,

then  $P(i)$  holds for all  $i \geq k$ .

$P(n)$  is called **induction hypothesis**.

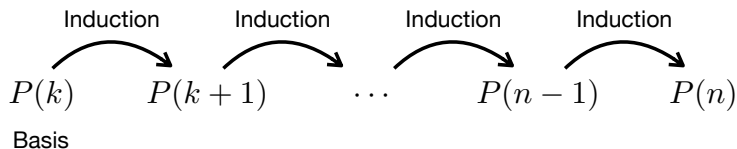
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Prove that  $\forall n \geq 0. \sum_{i=0}^n i = \frac{n(n+1)}{2}$ .

**Proof)**

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- (Basis Case):  $0 = 0(0 + 1)/2 \quad \square$
- (Induction Case): Assume that it holds for  $n$  (I.H.). Then,

$$\begin{aligned} \sum_{i=0}^{n+1} i &= (n+1) + \sum_{i=0}^n i \\ &= (n+1) + \frac{n(n+1)}{2} \quad (\because \text{I.H.}) \\ &= \frac{(n+1)(n+2)}{2} \quad \square \end{aligned}$$

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Prove that  $\forall n \geq 0. \sum_{i=0}^n i^2 = \frac{n(n+1)(2n+1)}{6}$ .

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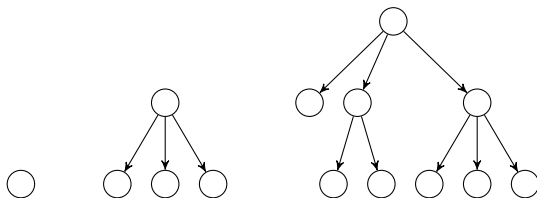


In CS, we often define somethings as **inductively-defined sets**.  
For example, we can define **trees** as follows:

## Example (Inductive Definition of Trees)

A **tree** is defined as follows:

- **(Basis Case)** A single **node**  $N$  is a tree.
- **(Induction Case)** If  $T_1, \dots, T_n$  are trees, then a graph defined with a new root node  $N$  and edges from  $N$  to  $T_1, \dots, T_n$  is a tree.



Another example is a set of **arithmetic expressions**:

### Example (Inductive Definition of Arithmetic Expressions)

An **arithmetic expression** is defined as follows:

- **(Basis Case)** A **number** or a **variable** is an arithmetic expression.
- **(Induction Case)** If  $E$  and  $F$  are arithmetic expressions, then so are  $E+F$ ,  $E * F$ , and  $(E)$ .

42

$x$

$x + y$

$42 * x$

$(x)$

$(x * y) * z$

$(2 + x) * y$

$x * (x * y)$

$(((((x))))))$

## Definition (Structural Inductions)

Let  $P(x)$  be a predicate on a **inductively-defined set**  $X$ , and if

- **(Basis Case)**  $P(b_1), \dots, P(b_k)$  hold for all basis cases  $b_1, \dots, b_k$ .
- **(Induction Case)** for all  $x \in X$ ,

$$P(x_1) \wedge \dots \wedge P(x_n) \Rightarrow P(x)$$

where  $x_1, \dots, x_n$  are the **sub-structures** of  $x$ .

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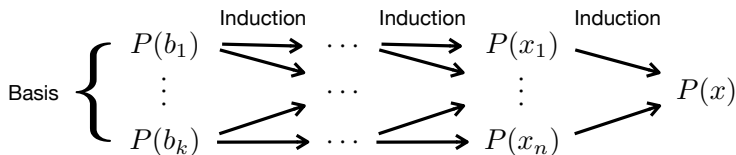
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- (Basis Case):  $N(T) = 1$  and  $E(T) = 0$ .  $\square$
- (Induction Case): Assume that it holds for  $T_1, \dots, T_n$  (I.H.). Then,

$$\begin{aligned} N(T) &= 1 + \sum_{i=1}^n N(T_i) \\ &= 1 + \sum_{i=1}^n (E(T_i) + 1) \quad (\because I.H.) \\ &= 1 + n + \sum_{i=1}^n E(T_i) \\ &= 1 + E(T) \quad \square \end{aligned}$$



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- (Induction Case): Assume that it holds for  $E$  and  $F$  (I.H.). Then,

$$\begin{aligned} L(E+F) &= L(E) + L(F) = R(E) + R(F) && (\because \text{I.H.}) \\ &= R(E+F) && \square \end{aligned}$$

$$\begin{aligned} L(E * F) &= L(E) + L(F) = R(E) + R(F) && (\because \text{I.H.}) \\ &= R(E * F) && \square \end{aligned}$$

$$\begin{aligned} L((E)) &= L(E) + 1 = R(E) + 1 && (\because \text{I.H.}) \\ &= R((E)) && \square \end{aligned}$$

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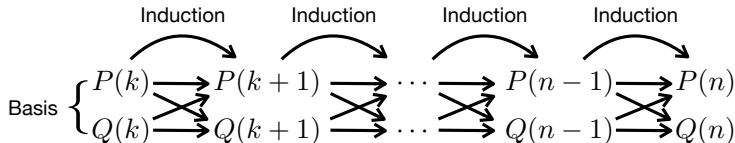
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$$\forall i \geq 0. S(i) = \text{OFF} \iff i \equiv 0 \pmod{2} \quad (P)$$

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  - (P,  $\Rightarrow$ ):  $0 \equiv 0 \pmod{2} \implies S(0) = \text{OFF} \Rightarrow 0 \equiv 0 \pmod{2}$
  - (P,  $\Leftarrow$ ):  $S(0) = \text{OFF} \implies S(0) = \text{OFF} \Leftarrow 0 \equiv 0 \pmod{2}$

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**Proof)** Let  $S(i)$  be the current state after  $i$  times of pushing. Let's prove

$$\forall i \geq 0. S(i) = \text{OFF} \iff i \equiv 0 \pmod{2} \quad (P)$$

$$\forall i \geq 0. S(i) = \text{ON} \iff i \equiv 1 \pmod{2} \quad (Q)$$

- (Basis Case): Known facts:  $S(0) = \text{OFF}$  and  $0 \equiv 0 \pmod{2}$ 
  - (P,  $\Rightarrow$ ):  $0 \equiv 0 \pmod{2} \implies S(0) = \text{OFF} \Rightarrow 0 \equiv 0 \pmod{2}$
  - (P,  $\Leftarrow$ ):  $S(0) = \text{OFF} \implies S(0) = \text{OFF} \Leftarrow 0 \equiv 0 \pmod{2}$
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- (Induction Case): Assume that it holds for  $n$  (I.H.):

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- ( $P, \iff$ ):

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## 1. Mathematical Notations

Notations in Logics

Notations in Set Theory

## 2. Inductive Proofs

Inductions on Integers

Structural Inductions

Mutual Inductions

## 3. Notations in Languages

Symbols & Words

Languages

- We first define a finite and non-empty set of **symbols**  $\Sigma$ .

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- $\Sigma = \{x \mid x \text{ is an Unicode character}\}$  – Unicode characters.

$\epsilon, \text{안녕하세요}, \text{こんにちは}, \star \blacksquare \blacktriangle \oplus, \dots \in \Sigma^*$

Notation	Description
$\epsilon$	the <b>empty word</b> .
$w_1 w_2$	the <b>concatenation</b> of $w_1$ and $w_2$ . ( $w_1$ is a <b>prefix</b> of $w_1 w_2$ and $w_2$ is a <b>suffix</b> of $w_1 w_2$ )
$w^R$	the <b>reverse</b> of $w$ .
$ w $	the <b>length</b> of $w$ .
$\Sigma^k$	the set of all words of length $k$ .
$\Sigma^*$	the set of all words (the <b>Kleene star</b> ). (i.e., $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \dots = \bigcup_{k \geq 0} \Sigma^k$ )
$\Sigma^+$	the set of all words except $\epsilon$ (the <b>Kleene plus</b> ). (i.e., $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \dots = \bigcup_{k > 0} \Sigma^k$ )



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- $L = \{10, 11, 101, 111, 1011, \dots\}$  – ???

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$$L_1 \cup L_2 \quad L_1 \cap L_2 \quad L_1 \setminus L_2$$

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$$L_1 L_2 = \{w_1 w_2 \mid w_1 \in L_1 \wedge w_2 \in L_2\}$$

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- Basic Introduction of Scala

Jihyeok Park

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