# Lecture 18 - Normal Forms of Context-Free Grammars COSE215: Theory of Computation 

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## A)PLRG

2024 Spring

- A context-free grammar (CFG) is a 4-tuple:

$$
G=(V, \Sigma, S, R)
$$

where

- $V$ : a finite set of variables (nonterminals)
- $\Sigma$ : a finite set of symbols (terminals)
- $S \in V$ : the start variable
- $R \subseteq V \times(V \cup \Sigma)^{*}$ : a set of production rules.
- How to simplify a CFG?
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- How to simplify a CFG?

Let's put it in Chomsky normal form (CNF)!

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1. Chomsky Normal Form (CNF)
2. Eliminating $\epsilon$-Productions

Nullable Variables
3. Eliminating Unit Productions

Unit Pairs
4. Eliminating Useless Variables

Generating Variables
Reachable Variables
5. Putting CFG in CNF

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## Chomsky Normal Form (CNF)

## Definition (Chomsky Normal Form)

A CFG is in Chomsky normal form (CNF) if all productions are of the form for some $A, B, C \in V$ and $a \in \Sigma$ :

$$
A \rightarrow B C \quad \text { OR } \quad A \rightarrow a \quad \text { OR } \quad S \rightarrow \epsilon
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where $B \neq S$ and $C \neq S$. And $S \rightarrow \epsilon$ is allowed only if $\epsilon \in L(G)$.

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Consider the following CFG:

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\begin{array}{lll}
S \rightarrow 0 A B C|1 B| B B & A \rightarrow A B B 0 \mid C & C \rightarrow C C \mid \epsilon \\
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\end{array}
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Is it possible to put this CFG in CNF?

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\end{array}
$$

Is it possible to put this CFG in CNF? Yes!

$$
\begin{array}{lll}
S \rightarrow X S_{1}|X B| Y B \mid B B & A \rightarrow A A_{1} \mid B A_{2} & B \rightarrow X B \mid 1 \\
S_{1} \rightarrow A B & A_{1} \rightarrow B A_{2} & X \rightarrow 0 \\
& A_{2} \rightarrow B X & Y \rightarrow 1
\end{array}
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Let's learn how to put a CFG in CNF!

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## Eliminating $\epsilon$-Productions

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However, we can eliminate all $\epsilon$-productions from a CFG $G$ to construct a new CFG $G^{\prime}$ such that:

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L\left(G^{\prime}\right)=L(G) \backslash\{\epsilon\}
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We can do it by following the steps below:
(1) Find all nullable variables.
(2) Construct a new CFG by replacing nullable variables with $\epsilon$ in all combinations and removing all $\epsilon$-productions in production rules.

## Nullable Variables

## Definition (Nullable Variables)

For a given CFG $G=(V, \Sigma, S, R)$, a variable $A \in V$ is nullable if

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We can inductively define the set of nullable variables:

- (Basis Case) If $A \rightarrow \epsilon \in R$, then $A$ is nullable.
- (Induction Case) If $A \rightarrow X_{1} X_{2} \ldots X_{n} \in R$ and $X_{1}, X_{2}, \ldots, X_{n}$ are all nullable, then $A$ is nullable.


## Eliminating $\epsilon$-Productions - Example

Consider the following CFG:

$$
\begin{aligned}
& S \rightarrow 0 A B C|1 B| B B \\
& A \rightarrow A B B 0 \mid C \\
& B \rightarrow 0 B \mid 1 \\
& C \rightarrow C C \mid \epsilon \\
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\begin{aligned}
& S \rightarrow 0 A B C|0 B C| 0 A B|0 B| 1 B \mid B B \\
& A \rightarrow A B B 0|B B 0| C \\
& B \rightarrow O B \mid 1 \\
& C \rightarrow C C \mid C \\
& D \rightarrow 1 D|1| A A \mid A
\end{aligned}
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## Unit Pairs

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For a given CFG $G=(V, \Sigma, S, R)$, a pair of variables $(A, B) \in V \times V$ is a unit pair if

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We can inductively define the set of unit pairs:

- (Basis Case) $(A, A)$ is a unit pair for all $A \in V$.
- (Induction Case) If $(A, B)$ is a unit pair and $B \rightarrow C \in R$, then $(A, C)$ is a unit pair.


## Eliminating Unit Productions - Example

After eliminating $\epsilon$-productions:

$$
\begin{aligned}
& S \rightarrow 0 A B C|0 B C| 0 A B|0 B| 1 B \mid B B \\
& A \rightarrow A B B 0|B B O| C \\
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(1) Find all unit pairs:

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\{(S, S),(A, A),(A, C),(B, B),(C, C),(D, D),(D, A),(D, C)\}
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## Eliminating Useless Variables

What are useless variables?

- Non-generating variables: Variables that cannot derive any word.
- Unreachable variables: Variables unreachable from the start variable. Is it possible to eliminate useless variables?


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Yes, we can do it by following the steps below:
(1) Find all generating variables.
(2) Find all reachable variables.
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For a given CFG $G=(V, \Sigma, S, R)$, a variable $A \in V$ is a generating variable if for some $w \in \Sigma^{*}$,

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$$

We can inductively define the set of generating variables:

- (Basis Case) There is no basis case.
- (Induction Case) If $A \rightarrow \alpha \in R$ and $\alpha$ contains only symbols or generating variables, then $A$ is a generating variable.


## Reachable Variables

## Definition (Reachable Variables)

For a given CFG $G=(V, \Sigma, S, R)$, a variable $A \in V$ is a reachable variable if there exists a derivation:

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$$

We can inductively define the set of reachable variables:

- (Basis Case) The start variable $S$ is reachable variable.
- (Induction Case) If $A \in V$ is a reachable variable and $A \rightarrow \alpha \in R$, then all variables in $\alpha$ are reachable variables.


## Eliminating Useless Variables - Example

After eliminating $\epsilon$-productions and unit productions:

$$
\begin{aligned}
& S \rightarrow O A B C|O B C| O A B|O B| 1 B \mid B B \\
& A \rightarrow A B B 0|B B 0| C C \\
& B \rightarrow O B \mid 1 \\
& C \rightarrow C C \\
& D \rightarrow 1 D|1| A A|A B B 0| B B 0 \mid C C
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\end{aligned}
$$

(1) Find all generating variables: $\{S, A, B, D\}-C$ is non-generating.

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(1) Find all generating variables: $\{S, A, B, D\}-C$ is non-generating.
(2) Find all reachable variables: $\{S, A, B, C\}-D$ is unreachable.
(3) Construct a new CFG by removing all productions that contain non-generating variables or come from unreachable variables.

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$$
\begin{aligned}
& S \rightarrow 0 A B|0 B| 1 B \mid B B \\
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& B \rightarrow 0 B \mid 1
\end{aligned}
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## Putting CFG in CNF

Our goal is to put a CFG in Chomsky normal form (CNF) consisting of:

$$
A \rightarrow B C \quad \text { OR } \quad A \rightarrow a
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where $B \neq S$ and $C \neq S$. And $S \rightarrow \epsilon$ is allowed only if $\epsilon \in L(G)$.
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(2) Eliminate $\epsilon$-productions, unit productions, and useless variables.

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(1) If $S$ on RHSs, add a new start variable $S^{\prime}$ and a production $S^{\prime} \rightarrow S$.
(2) Eliminate $\epsilon$-productions, unit productions, and useless variables.
(3) Arrange so that all RHSs whose length is greater than 1 consist only of variables. To do so, if terminal a appears in a RHS, then replace it with a new variable $A$ and add a production $A \rightarrow a$.

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(2) Eliminate $\epsilon$-productions, unit productions, and useless variables.
(3) Arrange so that all RHSs whose length is greater than 1 consist only of variables. To do so, if terminal a appears in a RHS, then replace it with a new variable $A$ and add a production $A \rightarrow a$.
(4) Replace all RHSs whose length is greater than 2 with a chain of variables. To do so, if $A \rightarrow X_{1} X_{2} \cdots X_{n}$ is a production with $n>2$, then replace it with a sequence of productions:

$$
A \rightarrow X_{1} A_{1} \quad A_{1} \rightarrow X_{2} A_{2} \quad \cdots \quad A_{n-2} \rightarrow X_{n-1} X_{n}
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(5) If $\epsilon$ is in the original CFG, add a production $S \rightarrow \epsilon$ (or $S^{\prime} \rightarrow \epsilon$ ).

## Putting CFG in CNF - Example 1

Let's put the following CFG in CNF:

$$
\begin{aligned}
& S \rightarrow 0 A B C|1 B| B B \\
& A \rightarrow A B B 0 \mid C \\
& B \rightarrow 0 B \mid 1 \\
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(1) If $S$ on RHSs, add a new start variable $S^{\prime}$ and a production $S^{\prime} \rightarrow S$.
(2) Eliminate $\epsilon$-productions, unit productions, and useless variables:

$$
\begin{aligned}
& S \rightarrow 0 A B|0 B| 1 B \mid B B \\
& A \rightarrow A B B 0 \mid B B 0 \\
& B \rightarrow 0 B \mid 1
\end{aligned}
$$

## Putting CFG in CNF - Example 1

$$
\begin{aligned}
& S \rightarrow O A B|O B| 1 B \mid B B \\
& A \rightarrow A B B O \mid B B O \\
& B \rightarrow O B \mid 1
\end{aligned}
$$

(3) Arrange so that all RHSs whose length $>1$ consist only of variables:

## Putting CFG in CNF - Example 1

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& A \rightarrow A B B O \mid B B O \\
& B \rightarrow O B \mid 1
\end{aligned}
$$

(3) Arrange so that all RHSs whose length $>1$ consist only of variables:

$$
\begin{array}{ll}
S \rightarrow X A B|X B| Y B \mid B B & X \rightarrow 0 \\
A \rightarrow A B B X \mid B B X & Y \rightarrow 1 \\
B \rightarrow X B \mid 1 &
\end{array}
$$

## Putting CFG in CNF - Example 1

$$
\begin{aligned}
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\begin{array}{ll}
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B \rightarrow X B \mid 1 &
\end{array}
$$

4. Replace all RHSs whose length $>2$ with a chain of variables:

## Putting CFG in CNF - Example 1

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\begin{array}{ll}
S \rightarrow X A B|X B| Y B \mid B B & X \rightarrow 0 \\
A \rightarrow A B B X \mid B B X & Y \rightarrow 1 \\
B \rightarrow X B \mid 1 &
\end{array}
$$

(4) Replace all RHSs whose length $>2$ with a chain of variables:

$$
\begin{array}{lll}
S \rightarrow X S_{1}|X B| Y B \mid B B & A \rightarrow A A_{1} \mid B A_{2} & B \rightarrow X B \mid 1 \\
S_{1} \rightarrow A B & A_{1} \rightarrow B A_{2} & X \rightarrow 0 \\
& A_{2} \rightarrow B X & Y \rightarrow 1
\end{array}
$$

## Putting CFG in CNF - Example 1

$$
\begin{aligned}
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S_{1} \rightarrow A B & A_{1} \rightarrow B A_{2} & X \rightarrow 0 \\
& A_{2} \rightarrow B X & Y \rightarrow 1
\end{array}
$$

(5) If $\epsilon$ is in the original CFG, add a production $S \rightarrow \epsilon$ (or $S^{\prime} \rightarrow \epsilon$ ):

## Putting CFG in CNF - Example 1

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\begin{aligned}
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\begin{array}{ll}
S \rightarrow X A B|X B| Y B \mid B B & X \rightarrow 0 \\
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\end{array}
$$

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\begin{array}{lll}
S \rightarrow X S_{1}|X B| Y B \mid B B & A \rightarrow A A_{1} \mid B A_{2} & B \rightarrow X B \mid 1 \\
S_{1} \rightarrow A B & A_{1} \rightarrow B A_{2} & X \rightarrow 0 \\
& A_{2} \rightarrow B X & Y \rightarrow 1
\end{array}
$$

(5) If $\epsilon$ is in the original CFG, add a production $S \rightarrow \epsilon\left(\right.$ or $\left.S^{\prime} \rightarrow \epsilon\right)$ : No.

## Putting CFG in CNF - Example 2

Let's put the following CFG in CNF:

$$
S \rightarrow a S b \mid \epsilon
$$

## Putting CFG in CNF - Example 2

Let's put the following CFG in CNF:

$$
S \rightarrow a S b \mid \epsilon
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(1) If $S$ on RHSs, add a new start variable $S^{\prime}$ and a production $S^{\prime} \rightarrow S$.

## Putting CFG in CNF - Example 2

Let's put the following CFG in CNF:

$$
S \rightarrow a S b \mid \epsilon
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(1) If $S$ on RHSs, add a new start variable $S^{\prime}$ and a production $S^{\prime} \rightarrow S$.

$$
S^{\prime} \rightarrow S \quad S \rightarrow a S b \mid \epsilon
$$

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(2) Eliminate $\epsilon$-productions, unit productions, and useless variables:

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(1) If $S$ on RHSs, add a new start variable $S^{\prime}$ and a production $S^{\prime} \rightarrow S$.

$$
S^{\prime} \rightarrow S \quad S \rightarrow a S b \mid \epsilon
$$

(2) Eliminate $\epsilon$-productions, unit productions, and useless variables:

$$
S^{\prime} \rightarrow a S b|a b \quad S \rightarrow a S b| a b
$$

## Putting CFG in CNF - Example 2

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S^{\prime} \rightarrow S \quad S \rightarrow a S b \mid \epsilon
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S^{\prime} \rightarrow S \quad S \rightarrow a S b \mid \epsilon
$$

(2) Eliminate $\epsilon$-productions, unit productions, and useless variables:

$$
S^{\prime} \rightarrow a S b|a b \quad S \rightarrow a S b| a b
$$

(3) Arrange so that all RHSs whose length $>1$ consist only of variables:

$$
S^{\prime} \rightarrow A S B|A B \quad S \rightarrow A S B| A B \quad A \rightarrow a \quad B \rightarrow b
$$

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$$
S^{\prime} \rightarrow A S B|A B \quad S \rightarrow A S B| A B \quad A \rightarrow a \quad B \rightarrow b
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4. Replace all RHSs whose length $>2$ with a chain of variables:

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S^{\prime} \rightarrow a S b|a b \quad S \rightarrow a S b| a b
$$

(3) Arrange so that all RHSs whose length $>1$ consist only of variables:

$$
S^{\prime} \rightarrow A S B|A B \quad S \rightarrow A S B| A B \quad A \rightarrow a \quad B \rightarrow b
$$

4 Replace all RHSs whose length $>2$ with a chain of variables:

$$
S^{\prime} \rightarrow A S_{1}\left|A B \quad S \rightarrow A S_{1}\right| A B \quad S_{1} \rightarrow S B \quad A \rightarrow a \quad B \rightarrow b
$$

## Putting CFG in CNF - Example 2

Let's put the following CFG in CNF:

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S \rightarrow a S b \mid \epsilon
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(1) If $S$ on RHSs, add a new start variable $S^{\prime}$ and a production $S^{\prime} \rightarrow S$.

$$
S^{\prime} \rightarrow S \quad S \rightarrow a S b \mid \epsilon
$$

(2) Eliminate $\epsilon$-productions, unit productions, and useless variables:

$$
S^{\prime} \rightarrow a S b|a b \quad S \rightarrow a S b| a b
$$

(3) Arrange so that all RHSs whose length $>1$ consist only of variables:

$$
S^{\prime} \rightarrow A S B|A B \quad S \rightarrow A S B| A B \quad A \rightarrow a \quad B \rightarrow b
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$$

(5) If $\epsilon$ is in the original CFG, add a production $S \rightarrow \epsilon\left(\right.$ or $\left.S^{\prime} \rightarrow \epsilon\right)$ :

## Putting CFG in CNF - Example 2

Let's put the following CFG in CNF:

$$
S \rightarrow a S b \mid \epsilon
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(1) If $S$ on RHSs, add a new start variable $S^{\prime}$ and a production $S^{\prime} \rightarrow S$.

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S^{\prime} \rightarrow S \quad S \rightarrow a S b \mid \epsilon
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(2) Eliminate $\epsilon$-productions, unit productions, and useless variables:

$$
S^{\prime} \rightarrow a S b|a b \quad S \rightarrow a S b| a b
$$

(3) Arrange so that all RHSs whose length $>1$ consist only of variables:

$$
S^{\prime} \rightarrow A S B|A B \quad S \rightarrow A S B| A B \quad A \rightarrow a \quad B \rightarrow b
$$

(4) Replace all RHSs whose length $>2$ with a chain of variables:

$$
S^{\prime} \rightarrow A S_{1}\left|A B \quad S \rightarrow A S_{1}\right| A B \quad S_{1} \rightarrow S B \quad A \rightarrow a \quad B \rightarrow b
$$

© If $\epsilon$ is in the original CFG, add a production $S \rightarrow \epsilon$ (or $S^{\prime} \rightarrow \epsilon$ ): Yes.

$$
S^{\prime} \rightarrow \epsilon\left|A S_{1}\right| A B \quad S \rightarrow A S_{1} \mid A B \quad S_{1} \rightarrow S B \quad A \rightarrow a \quad B \rightarrow b
$$

## Summary

1. Chomsky Normal Form (CNF)
2. Eliminating $\epsilon$-Productions

Nullable Variables
3. Eliminating Unit Productions Unit Pairs
4. Eliminating Useless Variables

Generating Variables
Reachable Variables
5. Putting CFG in CNF

## Next Lecture

- Properties of Context-Free Languages

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